

**CHIMICLES SCHWARTZ KRINER  
& DONALDSON-SMITH LLP**

Nicholas E. Chimicles, Pa. Id. No. 17928  
Kimberly Donaldson Smith, Pa. Id. No. 84116  
Timothy N. Mathews, Pa. Id. No. 91430  
One Haverford Centre  
361 West Lancaster Avenue  
Haverford, PA 19041  
Phone (610) 642-8500  
Fax (610) 649-3633

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF PENNSYLVANIA**

SOUTHEASTERN PENNSYLVANIA  
TRANSPORTATION AUTHORITY, on  
behalf of itself and all others similarly  
situated,

Plaintiff,

v.

ORRSTOWN FINANCIAL SERVICES,  
INC., ORRSTOWN BANK, ANTHONY  
F. CEDDIA, JEFFREY W. COY, MARK  
K. KELLER, ANDREA PUGH,  
THOMAS R. QUINN, JR., GREGORY A.  
ROSENBERRY, KENNETH R.  
SHOEMAKER, GLENN W. SNOKE,  
JOHN S. WARD, BRADLEY S.  
EVERLY, JOEL R. ZULLINGER,  
JEFFREY W. EMBLY, SMITH  
ELLIOTT KEARNS & COMPANY,  
LLC, SANDLER O'NEILL &  
PARTNERS L.P., and JANNEY  
MONTGOMERY SCOTT LLC,

Defendants.

Civil Action No. 1:12-cv-00993

CLASS ACTION

**UNOPPOSED MOTION BY LEAD  
PLAINTIFF FOR AN ORDER  
AUTHORIZING DISTRIBUTION  
OF THE NET SETTLEMENT  
FUND**

Court-appointed Lead Plaintiff, Southeastern Pennsylvania Transportation Authority, by and through Lead Counsel<sup>1</sup>, on behalf of the certified Settlement Class, respectfully move this Court, pursuant to the Court’s Final Judgment and Order of Dismissal With Prejudice (Dkt. 308, “Judgment”), for an Order:

- (i) Approving the administrative determinations of the Court-appointed Claims Administrator Kroll Settlement Administration LLC (“Kroll”) concerning the claims filed in this Action;
- (ii) Approving and authorizing the distribution of the Net Settlement Fund (Stipulation ¶ 1.16) to Authorized Claimants (*id.* ¶ 1.1) in accordance with the Plan of Allocation (set forth in Paragraph 8 of the Notice, Dkt. 297-1 at pg. 67/93) (the “Initial Distribution”);
- (iii) Authorizing Lead Counsel and Kroll to, pursuant to the Stipulation ¶ 5.14:
  - (a) conduct, if feasible, a second distribution of any remaining balance in the Net Settlement Fund, after a reasonable period of time passes after the date of Initial Distribution to Authorized Claimants (“Second Distribution”), and
  - (b) if a Second Distribution is not feasible, or if a *de minimis* balance still remains in the Net Settlement Fund after such Second Distribution thereby rendering a third distribution not economically feasible, donate such *de minimis* balance to MidPenn Legal Services, or a non-profit and non-sectarian organization chosen by the Court.
- (iv) Approving payments to Kroll for fees and expenses to be incurred in connection with the distribution(s);
- (v) Finally barring any further or additional claims made after September 27, 2024 against the Net Settlement Funds; and,

---

<sup>1</sup> Unless otherwise stated or defined, all capitalized terms used herein have the definitions provided in the Stipulation and Agreement of Settlement dated December 8, 2022 (“Stipulation”), which was filed as Exhibit 1 to Lead Plaintiff’s declaration submitted in support of Plaintiff’s Motion for Preliminary Approval (Dkt. 297).

- (vi) Authorizing Kroll to dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund.

In support of this Motion, Lead Plaintiff respectfully submits the declaration from the Senior Director of Kroll, specifically the Declaration of Robert Cormio in Connection With Motion for Class Distribution Order with supporting Exhibits (Exhibit 1, hereto, “Cormio Decl.” with Exhibits A through D, thereto).

In support of this Motion, Lead Plaintiff states as follows:

1. On May 19, 2023, the Court granted final approval of the Settlement pursuant to the Judgment (Dkt. 308).
2. ¶ 17 of the Judgment provides that the Court retains continuing jurisdiction over, among other things: “(a) . . . distribution of the Settlement Fund . . . and (f) other matters related to or ancillary to the foregoing”, and that “[t]he administration of the Settlement, and the decision of all disputed questions of law and fact with respect to the validity of any claim or right of any Person to participate in the distribution of the Net Settlement Fund, shall remain under the authority of this Court.”
3. The Judgment incorporates by reference, among other things, the Stipulation and the Notice (*id.* at ¶1), and authorized and directed implementation

and performance of all the terms and provisions of the Stipulation, as well as the terms and provisions of the Judgment. *Id.* at ¶9.

4. The Plan of Allocation (set forth in Paragraph 8 of the Notice, Dkt. 297-1 at pg. 67/93) set forth the protocol by which Kroll and Lead Counsel will allocate and distribute the Net Settlement Fund (Stipulation ¶ 1.16) to Authorized Claimants (*id.* ¶ 1.1), the “First Distribution”.

#### ***Claims Administration Process***

5. In accordance with the Stipulation ¶¶ 5.8-5.15, and the Preliminary Approval Order (“PAO”), Dkt. 300 at ¶¶ 17-20, Kroll and Lead Counsel began and conducted the claims administration process. In addition, as part of preparing for receiving and processing Claim Forms, among other things Kroll: conferred with Lead Counsel regarding the project guidelines for processing Claim Forms; established a unique database to store Claim Forms and supporting documentation; trained staff on the parameters for processing Claim Forms and responding to Class Member inquiries specific to this Action; and developed a proprietary “calculation module” that would calculate “Recognized Claims” pursuant to the Court-approved Plan of Allocation. *Id.* at ¶ 6.

6. Each Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was afforded the opportunity to file a Claim Form

either through the mail, (“Paper Claims”), online (“Web Claims”), or electronically (“Electronic Claims”). Cormio Decl. ¶¶ 7, 10, 11.

7. Kroll received and processed a total of 1,201 Claim Forms. Cormio Decl. ¶5. Of those Claim Forms, 63 were Paper Claims, 35 were Web Claims and 1,103 were Electronic Claims. *Id.* at ¶¶ 5-32.

8. The Cormio Decl. describes the detailed processes employed by the Kroll staff to receive, process and audit the Claim Forms, including processes unique to each category of Claim Form. *Id.* at ¶¶ 7-16.

9. As part of the administration, the Kroll staff identified Claim Forms (or “Proofs of Claim”) that were “deficient” in whole or part, and undertook several actions to resolve the initially identified deficient conditions. *Id.* at ¶¶ 18-23.

10. For the Paper and Web Claims, a “deficiency” meant that the Claim Forms submitted were, for example, incomplete, unsigned, stock transactions were not properly documented, or documentation indicated that the Claimant did not have any eligible Class Period transactions in Orrstown common stock. Cormio Decl. at ¶ 18; PAO at ¶ 18. But, those “deficient” Claim Forms were subject to being corrected, such that the claim would then be valid and eligible for payment. For the deficient Paper and Web Claim Forms, Kroll sent the claimants letters notifying them that their Claim Forms were deficient and/or ineligible, explaining the reason for the deficiency, and giving them the opportunity to resolve it. Cormio Decl. at ¶¶

19-21 and Exhibit A thereto; PAO at ¶ 19. Kroll reviewed and processed the responses, including by making and receiving calls, and sending and receiving emails, to assist the claimants in properly completing the otherwise deficient Claim Form. Cormio Decl. at ¶¶ 19-21.

11. For the Electronic Claims, a “deficiency” meant that, for example, the claims submitted were incomplete or indicated that the Claimant did not have any eligible Class Period transactions. Cormio Decl. at ¶ 22; PAO at ¶ 18. But, those “deficient” Electronic Claims were also subject to being corrected, such that the claim would then be valid and eligible for payment. For the deficient Electronic Claim, Kroll sent the claimants an email with a Claim Status Report, identifying which transactions claims were incorrect or incomplete, and giving them the opportunity to resolve it. Cormio Decl. at ¶¶ 22-23; PAO at ¶ 19. Kroll reviewed and processed the responses, and assisted claimants in properly completing the otherwise deficient Claim Form. Cormio Decl. at ¶¶ 22-23.

12. Consistent with PAO ¶ 19, Kroll also advised the claimants with deficient Claim Forms that they had the right to contest Kroll’s administration determination of the deficiency or ineligibility. Cormio Decl. at ¶ 24.

13. In addition, pursuant to PAO ¶ 19, Lead Counsel can in its discretion, accept late-submitted claims for processing by the Claims Administrator. Lead Counsel exercised that discretion to have Kroll process 10 additional Claim Forms

received through September 27, 2024, of which 7 were eligible Claims in whole or in part. Cormio Decl. at ¶ 25.

14. However, there must be a final cut-off date after which no more Claims will be accepted, so that the proportional distribution of the Net Settlement Fund in accordance with the Plan of Allocation can be accomplished. *See* Cormio Decl. at ¶ 26. Accordingly, Lead Counsel and Kroll propose that no Claim Forms or responses to deficiency notifications received after September 27, 2024, shall be eligible for payment in the Initial Distribution. The September 27, 2024 date affords all Class Members ample additional time to submit claims and/or cure the identified deficiencies.

### ***Quality Assurance Process***

15. In addition, Kroll deployed its Quality Assurance personnel during and subsequent to the claims administration process to, among other things: (a) verify the claims were being processed properly; (b) ensure the correctness and completeness of all Claims before preparing and finalizing reports to support the Initial Distribution; and (c) deploy fraud protection controls to identify potential fraudulent Claims. The Quality Assurance review is detailed in the Cormio Decl. at ¶¶ 27-32.

***Disposition of the Claim Forms***

16. Based on and as a result of the conducting the above processes, Kroll received 1,201 Claims of which:

(a) 654 constitute Authorized Claimants with Recognized Claims and Recognized Losses that should participate in the Initial Distribution; and,

(b) 547 are not eligible to participate because: (i) the Claim did not result in a Recognized Claim pursuant to the Plan of Allocation; (ii) the Claim did not fit within the Class definition (*e.g.*, Claimant's shares of Orrstown common stock were not purchased during the Class Period); (iii) deficient claims not cured; (iv) duplicate claims; and (v) claim withdrawn.

*See* Cormio Decl. at ¶ 33.

17. Exhibit B to the Cormio Declaration provides lists of the Claims submitted, and their ultimate disposition. *See* Cormio Decl. at ¶ 34, and Exhibits B-1, B-2, and B-3, thereto.

***Recognized Claims***

18. For the proposed 654 Authorized Claimants, the total Recognized Loss for their Eligible Shares, calculated by Kroll in accordance with the Plan of Allocation, is approximately \$13 million. *See* Cormio Decl. at ¶ 35; *see* Plan of Allocation, Dkt. 297-1 at PDF pg. 67/93.



***Claims Administration Fees and Expenses, and Tax Expenses***

19. Throughout the claims administration process Kroll has provided Lead Counsel with reports on and invoices for the work Kroll performed with respect to the administration of the Settlement. Pursuant ¶¶ 5.6-5.7 of the Stipulation, Lead Counsel was authorized to pay Notice and Administration Expenses as incurred. As set forth in the Cormio Decl. ¶36, and the invoices attached thereto, the costs of administration for this project through December 2023 was \$127,256.78. Kroll estimates that it will bill an additional \$19,125.04 to complete the administration of this Settlement. *See* Cormio Decl. ¶36, and Exhibit D thereto providing a detailed “Cost-to-Complete Estimate.” In addition, Kroll estimates a reserve of \$25,500.00 is necessary to pay for future Settlement Fund Tax and Tax Expenses. *Id.*

***Current Balance in Net Settlement Fund***

20. In accordance with the Stipulation ¶ 2.1, Defendants paid into the Escrow Account the Settlement Amount of \$15,000,000.

21. To date, and in accordance with the Court’s Order awarding Fees and Expenses (Dkt. 309 ¶ 3), and the Stipulation ¶¶ 1.16, 2.3, the Settlement Fund has been applied as follows: (a) to pay Notice and Administration Expenses (\$127,256.78); (b) to pay Settlement Fund Taxes and Tax Expenses (\$284,000); and (c) to pay the Court-approved fees, expenses, and interest accrued thereon (\$6,061,076.30).

22. In addition, the Settlement Fund has earned approximately \$805,220 in interest.

23. Accordingly, the current amount of the Net Settlement Fund is approximately \$9.3 million.

24. Lead Counsel notes for the Court that based on a Net Settlement Fund of \$9.3 million available for the Initial Distribution (after deducting the requested reserve for Tax and Claims Administration Expenses), the Authorized Claimants are recovering approximately between 65% and 70% of their \$13 million of Recognized Loss, further confirming the fairness and reasonableness of the Settlement.

***Proposed Distribution Plan***

25. Based on the foregoing and the information in the Cormio Declaration, Lead Counsel requests and Kroll recommends (Cormio Decl. ¶ 37) that the Court approve the following distribution plan in paragraphs 26-31, herein.

**The Initial Distribution**

26. Prior to making the Initial Distribution, Kroll would reserve from the Net Settlement Fund \$44,625.04 to pay the estimated Notice and Administration Expenses and to pay Settlement Fund Taxes and Tax Expenses set forth in Paragraph 19, above.

27. Kroll will then conduct the Initial Distribution of the available balance of the Net Settlement Fund, in accordance with the Plan of Allocation (“POA”, ¶8 of the Notice, Dkt. 297-1 at pg. 67/93 – 70/93), by:

i. Calculating each Authorized Claimant’s *pro rata* share of the Net Settlement Fund (Cormio Decl. ¶ 37.a.i; POA, pg. 69 at (3)(vi)), eliminating any Authorized Claimant whose *pro rata* share of the Net Settlement Fund is less than \$10.00 (Cormio Decl. ¶ 37.a.ii; POA, pg. 69 at (3)(vi)); and then, recalculating the *pro rata* share of the Net Settlement Fund for each Authorized Claimant who is to receive more than \$10.00. This *pro rata* share is the Authorized Claimant’s “Distribution Amount.” Cormio Decl. ¶ 37.a.iii; POA, pg. 69 at (3)(vi))

ii. The Distribution Amount will be sent via check to the Authorized Claimants, and the check will prominently display (in order to encourage Authorized Claimants to promptly cash their checks) that the check is void if not cashed within 60 days. Cormio Decl. ¶ 37.a.iv. Kroll will, if feasible, perform follow up with each Authorized Claimant whose checks are initially uncashed or are returned as undeliverable. Cormio Decl. ¶ 37.a.iv; POA, pg. 69 at (3)(vi).

iii. At such time that Lead Counsel and Kroll have determined that Kroll’s reasonable and diligent follow up efforts have been

exhausted, but no sooner than six months after the Initial Distribution, the payments to the Authorized Claimants who do not cash their Initial Distribution checks will revert back to the Settlement Fund and those Authorized Claimants will irrevocably forfeit all recovery from the Settlement. *See* Cormio Decl. ¶ 37.a.v.

**The Second Distribution and/or *Cy Pres***

28. Thereafter, but in no event earlier than six months after the Initial Distribution (*see* POA, pg. 69 at (3)(viii)), if there is any balance remaining in the Net Settlement Fund after completion of the Initial Distribution, Lead Counsel and Kroll will determine whether: a Second Distribution is feasible and economical; or whether a Second Distribution is not feasible and economical because there is a *de minimus* balance remaining in the Net Settlement Fund. Cormio Decl. ¶ 37.b.

29. If a Second Distribution is feasible and economical, at the direction of Lead Counsel, Kroll will conduct a Second Distribution. After payment of any Notice and Administration Expenses and to pay Settlement Fund Taxes and Tax Expenses, Kroll will redistribute the remaining funds on a *pro rata* basis to Authorized Claimants who cashed their distribution checks in the Initial Distribution and who would receive at least \$10.00 in the Second Distribution.

30. If a Second Distribution is not economical, or once there is a *de minimis* balance remaining in the Net Settlement Fund after the Second Distribution that is

not economical to distribute, the remaining funds will be donated to MidPenn Legal Services, or a non-profit and non-sectarian organization chosen by the Court. Cormio Decl. ¶ 37.c.

31. The Stipulation at ¶ 5.14 identified MidPenn Legal Services as the proposed recipient, subject to the Court selecting a different non-profit and non-sectarian organization. The parties identified MidPenn because it is a local, non-profit, public interest law firm that provides free civil legal services to low-income residents and survivors of domestic violence and sexual assault in 18 counties in Central Pennsylvania. See <https://www.midpenn.org/>. See also *Rittle v. Premium Receivables, LLC*, No. 1:15-CV-0166, 2018 U.S. Dist. LEXIS 199824, at \*4 (M.D. Pa. Nov. 27, 2018) (“Claimants will receive a *pro rata* share of the Class Recovery by check. ...Any checks that have not been cashed by the void date, along with any unclaimed funds remaining in the Class Recovery, will be donated as a *cy pres* award to: MidPenn Legal Services...”)

### ***Disposal of Claim Forms and Supporting Documentation***

32. Unless otherwise ordered by the Court, Kroll will dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund. Cormio Decl. ¶ 37.e.

### ***Conclusion***

33. For the foregoing reasons, Lead Plaintiff respectfully requests entry of an Order, in the proposed form provided herewith:

- (i) Approving the administrative determinations of Kroll concerning the claims filed in this Action;
- (ii) Approving the Distribution Plan, which includes:
  - (a) Approving and authorizing the Initial Distribution of the Net Settlement Fund to Authorized Claimants in accordance with the Plan of Allocation;
  - (b) Approving and authorizing: if economically feasible, the Second Distribution of any remaining balance in the Net Settlement Fund, and if a Second Distribution is not economically feasible; or if a *de minimis* balance still remains in the Net Settlement Fund after such Second Distribution, thereby rendering a third distribution not economically feasible, the donation of such *de minimis* balance to MidPenn Legal Services, or a non-profit and non-sectarian organization chosen by the Court.
- (iii) Approving payments to Kroll for fees and expenses to be incurred in connection with the distribution(s);
- (iv) Finally barring any further or additional claims made after September 27, 2024 against the Net Settlement Fund; and,
- (v) Authorizing Kroll to dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund.

Dated: October 8, 2024

Respectfully submitted,

/s/ Kimberly M. Donaldson-Smith

Nicholas E. Chimicles

Kimberly Donaldson-Smith

Timothy N. Mathews

**CHIMICLES SCHWARTZ KRINER  
& DONALDSON-SMITH LLP**

One Haverford Centre

361 West Lancaster Avenue

Haverford, PA 19041

Telephone: (610) 642-8500

Fax: (610) 649-3633

nick@chimicles.com

kindonaldsonsmith@chimicles.com

tnm@chimicles.com

*Lead Counsel for Plaintiff Southeastern  
Pennsylvania Transportation Authority  
and the Settlement Class*

**CERTIFICATE OF CONCURRENCE WITH L.R. 7.1**

I, Kimberly M. Donaldson-Smith, a specially admitted member of the bar of this Court, hereby certify that I have conferred with counsel for the Orrstown Defendants, who do not oppose this *Unopposed Motion By Lead Plaintiff For An Order Authorizing Distribution Of The Net Settlement Fund*.

Dated: October 8, 2024

Respectfully submitted,

/s/ Kimberly M. Donaldson-Smith

Nicholas E. Chimicles

Kimberly Donaldson-Smith

Timothy N. Mathews

**CHIMICLES SCHWARTZ KRINER  
& DONALDSON-SMITH LLP**

One Haverford Centre

361 West Lancaster Avenue

Haverford, PA 19041

Telephone: (610) 642-8500

Fax: (610) 649-3633

[nick@chimicles.com](mailto:nick@chimicles.com)

[kimdonaldsonsmith@chimicles.com](mailto:kimdonaldsonsmith@chimicles.com)

[tnm@chimicles.com](mailto:tnm@chimicles.com)

*Lead Counsel for Plaintiff Southeastern  
Pennsylvania Transportation Authority  
and the Settlement Class*



**CERTIFICATE OF SERVICE**

I, Kimberly M. Donaldson-Smith, a specially admitted member of the bar of this Court, hereby certify that a true and correct copy of the UNOPPOSED MOTION BY LEAD PLAINTIFF FOR AN ORDER AUTHORIZING DISTRIBUTION OF THE NET SETTLEMENT FUND was served on all counsel of record via the Court's ECF system on October 8, 2024.

By: /s/ Kimberly M. Donaldson-Smith  
Kimberly M. Donaldson-Smith

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF PENNSYLVANIA**

SOUTHEASTERN PENNSYLVANIA  
TRANSPORTATION AUTHORITY, on behalf of  
itself and all others similarly situated,

Plaintiff,

v.

ORRSTOWN FINANCIAL SERVICES, INC., et al,

Defendants.

Civil Action No.  
1:12-cv-00993

CLASS ACTION

**DECLARATION OF ROBERT CORMIO IN CONNECTION WITH  
MOTION FOR CLASS DISTRIBUTION ORDER**

I, ROBERT CORMIO, declare as follows:

1. I am a Senior Director of Kroll Settlement Administration LLC (“Kroll”),<sup>1</sup> whose principal office is located at 2000 Market Street, Suite 2700, Philadelphia, Pennsylvania 19103. The following statements are based on my personal knowledge and information provided to me by other Kroll employees and, if called to testify, I could and would do so competently.

2. Pursuant to the Preliminary Approval Order, entered February 1, 2023 (ECF No. 300), Kroll was authorized to act as the Claims Administrator for the Settlement in the above-captioned action. Since that time, Kroll has, among other things: (a) mailed the Court-approved Notice to potential Class Members, brokers, and other nominees; (b) created and maintains a toll-free telephone helpline for inquiries during the course of the administration of the Settlement; (c) designed, launched, and maintains a case-specific settlement website, [www.orrstownsecuritiessettlement.com](http://www.orrstownsecuritiessettlement.com), which included the option to submit a Proof of Claim and

---

<sup>1</sup> Capitalized terms not otherwise defined herein have the meanings given to them in the Stipulation and Agreement of Settlement (the “Stipulation”), filed December 8, 2022 (ECF No. 297-1) in this Action.

Release (the “Claim Form” or “Claim”) online or download a copy and submit via mail; (d) caused the Summary Notice to be published; (e) provided, upon request, additional copies of the Notice and Claim Form (collectively, the “Notice Packet”) to brokers, nominees, and potential Class Members; and (f) received and processed Claim Forms.

3. Kroll has completed processing all Claim Forms received through September 27, 2024, in accordance with the terms of the Stipulation and the Plan of Allocation set forth in the Notice, approved by the Court on May 19, 2023 in its *Final Judgment and Order of Dismissal with Prejudice* (ECF No. 308). Kroll herein submits its administrative determinations accepting and rejecting Claims in preparation for a distribution of the Net Settlement Fund to Authorized Claimants. This declaration is being filed in connection with Lead Plaintiff’s motion for distribution of class settlement funds.

## **I. DISSEMINATION OF NOTICE**

4. As more fully described in the *Declaration of Justin R. Hughes Regarding Administration of Notice*, filed April 14, 2023 (ECF No. 305-3) and the *Supplemental Declaration of Justin R. Hughes Regarding Administration of Notice*, filed May 9, 2023 (ECF No. 306-1), Kroll mailed an aggregate of 5,094 copies of the Court-approved Notice and Claim Form (collectively, the “Notice Packet”) to potential Class Members and nominees through May 9, 2023. Additionally, one nominee – BNY Mellon – represented to Kroll that they sent another 202 Notices to their clients electronically. And finally, Broadridge represented to Kroll that they sent 453 emails containing links to the Notice. In addition to the foregoing, on February 27, 2023, Kroll caused the Summary Notice to be published in *Investor’s Business Daily* for national distribution, and to be disseminated over *PR Newswire*.

## **II. PROCEDURES FOLLOWED IN PROCESSING CLAIMS**

5. Under the terms of the Preliminary Approval Order and as set forth in the Notice, each Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was required to complete and submit to Kroll a properly executed Claim Form postmarked or received no later than June 22, 2023, together with adequate supporting documentation for the transactions and holdings reported therein. Through September 27, 2024, Kroll has received 1,201 Claims.

6. In preparation for receiving and processing Claim Forms, Kroll: (i) conferred with Lead Counsel regarding the project guidelines for processing Claim Forms; (ii) created a unique database to store Claim details and images of Claim Forms and supporting documentation; (iii) trained staff in the specifics of the project so that Claims would be properly processed; (iv) formulated a system so that telephone and email inquiries would be properly responded to; (v) developed various computer programs and screens for entry of Class Members' identifying information and transactional information; and (vi) developed a proprietary "calculation module" that would calculate "Recognized Claims" pursuant to the Court-approved Plan of Allocation set forth in the Notice.

### **A. Processing Paper Claims**

7. Of the 1,201 Claim Forms received by Kroll through September 27, 2024, 63 were hard-copy claims submitted via mail ("Paper Claims"). Once received, Paper Claims were opened and prepared for scanning. This process included unfolding documents, removing staples, copying non-conforming sized documents, sorting documents, and, where claimant identification information was not provided on the Claim Form itself, copying and attaching the envelope with the return address to the file. Once prepared, Paper Claims were scanned into a database developed by Kroll to process Claims submitted for the Settlement (the "Settlement Database"), together with

all submitted documentation. Subsequently, each Claim was assigned a unique Claim number. Once scanned, the information from each Paper Claim, including the name, address, and the claimant's purchase/acquisition transactions, sale transactions, and holdings listed in the Claim, was entered into the Settlement Database. Next, the information provided by each claimant in support of the Claim was reviewed to determine whether each claimant had purchased common stock of Orrstown Financial Services, Inc. ("Orrstown common stock") during the period from March 15, 2010 through April 26, 2012, inclusive, as required to be a Class Member.

8. In order to process the transactions detailed in the Claims, Kroll utilized internal codes (also known as "message codes") to identify and classify Claims and any deficiency or ineligibility conditions that existed within those Claims. The appropriate message codes were assigned to the Claims as they were processed. For example, where a Claim was submitted by a claimant who did not purchase any Orrstown common stock during the Class Period, that Claim received a "Claim level" message code that denoted ineligibility for that reason. Similar "Claim level" message codes were used to denote other ineligible conditions, such as duplicate Claims. These message codes indicated to Kroll that the claimant is not eligible to receive any payment from the Net Settlement Fund with respect to that Claim unless the deficiency is cured.

9. Because a Claim may be deficient only in part, but otherwise acceptable, Kroll also utilized message codes that only applied to specific transactions within a Claim. For example, if a claimant submitted a Claim with supporting documentation for all but one purchase transaction, that one transaction received a "transaction level" message code. That message code indicated that one transaction was deficient, but that the Claim was otherwise eligible for payment if other transactions in the Claim calculated to a Recognized Claim pursuant to the Plan of Allocation. Thus, even if the transaction level deficiency was never cured, the Claim could still be paid in part.

**B. Processing Web Claims**

10. Of the 1,201 Claims received by Kroll through September 27, 2024, 35 were submitted by claimants via the Claim portal available on the settlement website (“Web Claims”). Once received, Web Claims were imported into the Settlement Database. This process included assigning a unique Claim number to each Web Claim and mapping the submission form to the database so that entries could be evaluated and calculated according to the Plan of Allocation. Next, and identical to the process utilized for Paper Claims, the information provided by each claimant in support of the Web Claim was reviewed to determine whether the claimant purchased Orrstown common stock during the Class Period, as required to be a Class Member. Kroll utilized the same internal message codes as utilized for Paper Claims to identify and classify Web Claims as they were processed.

**C. Processing Claims Submitted Electronically**

11. Of the 1,201 Claims received by Kroll through September 27, 2024, 1,103 were filed electronically (“Electronic Claims”), which are typically submitted by institutional investors who may have hundreds or thousands of transactions during the relevant period. Rather than provide reams of paper requiring data entry, the institutional investors filing Electronic Claims either mail a computer disc or email a file to Kroll, so that Kroll may electronically upload all transactions to the Settlement Database.

12. Kroll maintains an electronic filing operations team (the “Electronic Filing Team”) to coordinate and supervise the receipt and handling of all Electronic Claims. In this case, as in all other claims administrations, the Electronic Filing Team reviewed and analyzed each electronic file to ensure that it was formatted in accordance with Kroll’s required format, and to identify any potential data issues or inconsistencies within the file. If any issues or inconsistencies arose, Kroll immediately notified the filer. If the electronic file was deemed to be in an acceptable format, it

was then forwarded to Kroll's data team with detailed loading instructions, including the number of Claims and transaction totals that the institution provided when it sent the electronic file.

13. Once the electronic file was loaded, Kroll's "Quality Assurance" personnel reviewed the electronic file to confirm that the number of Claims and transactions matched the information provided by the filer.

14. Once the Claims and transaction totals were confirmed, the Electronic Claims were coded just like Paper Claims and Web Claims, with message codes to identify and classify Electronic Claims and any deficiency or ineligibility conditions that existed within them. However, the process in applying the message codes to the Electronic Claims differs from the process used for Paper Claims or Web Claims. Rather than manually applying message codes, the Electronic Filing Team performed programmatic reviews on Electronic Claims to identify deficient and ineligible conditions (such as, but not limited to, price per share validation issues and out of balance conditions). The appropriate message codes were then assigned programmatically once the output of the reviews was thoroughly analyzed and confirmed as accurate.

15. The review process also included flagging Electronic Claims that were not accompanied by any of the following: (i) a signed Claim Form, which serves as a "Master Proof of Claim Form" for all accounts referenced on the electronic file submitted; (ii) an electronic filing summary sheet; (iii) supporting documentation, such as a signed or notarized letter on company letterhead attesting to the truth and accuracy of the data on the electronic file, trade confirmations, and/or brokerage account statements; (iv) a notarized affidavit, corporate resolution, or corporate bylaws verifying that the individual who executed the Claim and submitted the electronic file is an authorized signatory of his/her company with the authority to file such information; and (v) documentation to demonstrate the authority to file on behalf of the claimant. This portion of the review process was also reviewed by Kroll's Quality Assurance personnel, who worked in

conjunction with the Electronic Filing Team to contact the institutional filers whose electronic files were missing information. This process ensures that only fully completed Claims, submitted by properly authorized representatives of the claimants, are considered eligible for payment from the Net Settlement Fund.

16. At the end of the process, Kroll performed various audits of Electronic Claims. Specifically, Kroll contacted a number of electronic filers who, in lieu of providing specific trade confirmations, provided certain other forms of supporting documentation as set forth in ¶15 above, and requested that various sample transactions selected by Kroll be documented by providing confirmation slips or other transaction specific supporting documentation. This random sampling and request for follow up verification helped to ensure that electronic data supplied by claimants did not contain inaccurate information. Kroll performed this final check on a variety of electronic files randomly selected, as well as on the electronic files with the largest Recognized Claims.

### **III. EXCLUDED PERSONS**

17. Kroll also reviewed all Claims to ensure that they were not submitted by, or on behalf of, persons or entities specifically excluded from the Class by definition, to the extent that the identities of such persons or entities were known to Kroll through the list of Defendants and other excluded persons and entities set forth in the Stipulation and the Notice.

### **IV. THE DEFICIENCY PROCESS**

#### **A. Paper and Web Claims**

18. Approximately 54 of the 98 Paper Claims and Web Claims, or approximately 55% of the Paper Claims and Web Claims submitted, were incomplete or had one or more defects or conditions of ineligibility, such as the Claim Form not being signed, not being properly documented, or indicating no eligible transactions in Orrstown common stock.



19. A majority of Kroll's efforts in handling a claims administration involve claimant communications, so that all claimants have a sufficient opportunity to cure any deficiencies and file a complete Claim Form. The "Deficiency Process," which primarily involved mailing letters to claimants and, in response, making and receiving calls and sending and receiving emails to and from claimants, is intended to assist claimants in properly completing their otherwise deficient submissions so that they would be eligible to receive a distribution from the Net Settlement Fund.

20. If a Claim was determined to be defective or ineligible, a *Notice of Rejection of Your Claim* or a *Notice of Partial Rejection of Your Claim* ("Rejection Notice") was sent to the claimant describing the defect(s) or condition(s) of ineligibility in their Claim and what was necessary to cure any "curable" defect(s) in the Claim. The Rejection Notice advised the claimant that the submission of the appropriate information and/or documentary evidence to complete the Claim had to be sent within twenty (20) days from the date of the letter, or the Claim would be recommended for rejection to the extent the deficiency or condition of ineligibility was not cured. The Rejection Notices also advised claimants that if they desired to contest the administrative determination, they were required to submit a written statement to Kroll requesting Court review of the determination and setting forth the basis for the request. Kroll sent by first-class mail a total of 54 Rejection Notices to claimants. Attached hereto as **Exhibit A** is an example of the Rejection Notice.

21. Claimants' responses to the Rejection Notices were scanned into Kroll's database and associated with the corresponding Claims. The responses were then carefully reviewed and evaluated by Kroll's team of processors. If a claimant's response corrected the defect(s), Kroll updated the database manually to reflect the change in status of the Claim.

**B. Electronic Claims**

22. Using the following process, Kroll provided filers of Electronic Claims with an email attaching a “Claim Status Report” listing the Claims that were submitted, which of those were a Recognized Claim, and/or a list of the specific portions of the Claims that were incorrect or incomplete. The Claim Status Reports:

- (a) were sent electronically to 24 electronic filers who collectively submitted 1,103 Electronic Claims;
- (b) Listed Recognized Claim amounts for Claims that were eligible per the terms of the Plan of Allocation;
- (c) identified individual transactions and/or entire Claims that were found to be deficient or ineligible so that the filer on behalf of the claimant had the opportunity to correct the deficient condition or contest the determination of ineligibility;
- (d) stated that any deficient transactions or Electronic Claims that remain uncured, as well as any transactions or Electronic Claims that were identified as ineligible on the Transaction Report, would be recommended for rejection;
- (e) notified the filer that it could, on behalf of the claimant, request that the Court review Kroll’s administrative determination if it wished to contest the rejection of any transactions or Electronic Claims; and
- (f) provided Kroll’s contact information so that the filer could reach out to Kroll if it had any questions or required assistance.

23. The responses to the Transaction Reports were reviewed by Kroll, scanned, and/or loaded into Kroll’s database, and associated with the corresponding Electronic Claim. If the

response corrected the defect(s) or affected the Electronic Claim's status, Kroll manually and/or programmatically updated the database to reflect the change in status of the Electronic Claim.

## **V. DISPUTED CLAIMS**

24. As noted above, claimants were advised that they had the right to contest Kroll's administrative determination of deficiencies or ineligibility within twenty (20) days from the date of notification and that they could request that the dispute be submitted to the Court for review. More specifically, such persons were advised in the Rejection Notice and in the Transaction Report that to dispute Kroll's determinations, they needed to provide a statement of reasons indicating their grounds for contesting the rejection, along with supporting documentation. Through September 27, 2024, Kroll received one (1) request for Court review, which was ultimately resolved.

## **VI. LATE BUT OTHERWISE ELIGIBLE CLAIMS**

25. Through September 27, 2024, Kroll received 10 Claims that were postmarked or received after June 22, 2023, the submission deadline established by the Court. Kroll processed all late Claims received through September 27, 2024, and 7 have been found to be otherwise eligible in whole or in part (the "Late But Otherwise Eligible Claims"). Kroll has not rejected any Claim Forms received through September 27, 2024, solely based on its late submission, and believes no delay has resulted from the provisional acceptance of these Late But Otherwise Eligible Claims. To the extent that a Claim is eligible but for the fact that it was late, Kroll recommends that these Claims be eligible for payment.

26. There must be a final cut-off date after which no more Claims will be accepted, however, so that there may be a proportional distribution of the Net Settlement Fund and the distribution may be accomplished. Acceptance of additional Claims or responses to Rejection Notices or Transaction Reports received during the finalization of the administration and the

preparation of this application for distribution of the Net Settlement Fund would necessarily require a delay in the distribution. Accordingly, Kroll requests that no Claims or responses to Rejection Notices or Transaction Reports received after September 27, 2024, shall be eligible for payment in the initial distribution (“Initial Distribution”) of the Net Settlement Fund to Authorized Claimants.

## **VII. QUALITY ASSURANCE**

27. An integral part of all of Kroll’s settlement administration projects is its Quality Assurance review. Kroll’s Quality Assurance personnel worked throughout the entire claims administration process to verify that Claims were processed properly; that deficiency and ineligibility message codes were properly applied to Claims; that Rejection Notices were mailed to the appropriate claimants; that Transaction Reports were emailed to filers of Electronic Claims; and that Kroll’s computer programs were operating properly.

28. Once all of the Claims were processed, Rejection Notices were mailed, Transaction Reports were emailed, and responses to those notices and reports were reviewed and processed, Kroll’s Quality Assurance team performed a final project wrap up to ensure the correctness and completeness of all Claims before Kroll prepared its final reports to Lead Counsel. Here, in connection with this Quality Assurance wrap up, Kroll: (i) confirmed that valid Claims have no messages denoting ineligibility; (ii) confirmed that Claims that are fully or partially ineligible have messages denoting either full or partial ineligibility; (iii) confirmed that Claims that contained purchases of Orrstown common stock made outside of the Class Period contain appropriate ineligibility messages; (iv) confirmed appropriate transaction level messages were assigned; (v) confirmed that all Claims requiring Rejection Notices were sent such notices; (vi) performed a sample review of deficient Claims; (vii) reviewed Claims with large dollar losses; (viii) sampled Claims that had been determined to be ineligible, including those with no calculated Recognized

Claim under the Plan of Allocation, in order to verify that all transactions had been captured correctly; and (ix) tested the accuracy of the calculation program.

29. In support of the work described above, Kroll's computer staff designed and implemented, and the Quality Assurance team tested, the following programs for this administration: (i) data entry screens that store Claim information (including all transactional data included in each Claim) and attach message codes and, where necessary, text to denote conditions existing within the Claim; (ii) programs to load and analyze transactional data submitted electronically for all Electronic Claims; (iii) a program to compare the claimed transaction prices against the reported market prices to confirm that the claimed transactions were within an acceptable range of the reported market prices; (iv) a calculation program to analyze the transactional data for all Claims, and calculate each claimant's Recognized Claim based on the Plan of Allocation; and (v) programs to generate various reports throughout and at the conclusion of the claims administration process, including lists of all eligible and ineligible Claims.

30. Kroll also used a variety of fraud protection controls throughout the claims administration process to identify potential fraudulent Claims. Searches for duplicate Claims (by beneficial owner name, tax identification number, account number and Recognized Claim amounts), high value reviews, spot reviews, and other standard audit reports that examined the information in a variety of ways, were used during Kroll's Quality Assurance review.

31. Kroll reviewed and compared the entire Settlement Database against its proprietary "Watch List" of known potential fraudulent filers that Kroll has developed throughout its more than 40 years of experience as a claims administrator.

32. In accordance with the requirements of the U.S. Treasury Department's Office of Foreign Assets Control ("OFAC"), Kroll will perform searches on every check that it will issue to identify any potential payees whose names appear on the federal government's restricted person's

list or who reside in countries to which payments are prohibited. Kroll regularly monitors changes to OFAC regulations and guidelines.

### VIII. DISPOSITION OF PROOFS OF CLAIM

33. Kroll has completed the processing of the 1,201 Claims that were received through September 27, 2024, and has determined that (a) 654 are acceptable in whole or in part, and that (b) 547 should be wholly rejected because they are ineligible for recovery from the Net Settlement Fund. The 547 wholly rejected Claims are ineligible for the following reasons:

#### *Summary of Rejected Claims*

<i>Reason for Rejection</i>	<i>Number of Claims</i>
Claim Did Not Result in a Recognized Claim Pursuant to Court-Approved Plan of Allocation	270
Claim Did Not Fit Definition of Class ( <i>e.g.</i> , Claimant's Shares of Orrstown common stock were Not Purchased during the Class Period)	166
Deficient and Potentially Curable Claim Never Cured	101
Duplicate Claim	9
Claim Withdrawn	1

34. A list of the Claims submitted and their ultimate disposition is contained in the following exhibits: **Exhibit B-1**, entitled "Timely Eligible Claims," lists all timely filed, provisionally accepted Claims and states each Claim's Recognized Claim; **Exhibit B-2**, entitled "Late But Otherwise Eligible Claims," lists the late filed (*i.e.*, Claims with a postmark or received after June 22, 2023, but received on or before September 27, 2024), provisionally accepted Claim and states the Claim's Recognized Claim; **Exhibit B-3**, entitled "Rejected Claims," lists all wholly rejected Claims and states the reason for each Claim's rejection. For privacy reasons, **Exhibits B-1, B-2, and B-3** provide only the Claimant's unique Claim number assigned by Kroll, along with that Claim's Recognized Claim or reason for rejection (no names, physical addresses, or social security or other taxpayer identification numbers are disclosed).

35. The total Recognized Claims for all provisionally accepted Claims (as set forth on **Exhibits B-1 and B-2** hereto) calculated in accordance with the Court-approved Plan of Allocation is \$13,012,987.99 (the total Recognized Claims for the 647 Timely Eligible Claims is \$12,880,731.00 and the total Recognized Claims for the 7 Late But Otherwise Eligible Claims is \$132,256.99). According to the Plan of Allocation, each Authorized Claimant shall be allocated a *pro rata* share of the Net Settlement Fund based on the percentage the Recognized Claim bears to the total of the Recognized Claims of all Authorized Claimants; however, as set forth in the Court-approved Plan of Allocation, if an Authorized Claimant's prorated payment calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to such Authorized Claimant.

#### **IX. KROLL'S REQUESTED FEES AND DISBURSEMENTS**

36. In furtherance of the terms of the Stipulation authorizing payment for Notice and Administration Expenses incurred by the Claims Administrator, Kroll has provided Lead Counsel with reports on all of the work Kroll performed with respect to the administration of the Settlement, and Lead Counsel has authorized the claims administration work performed herein. Attached hereto as **Exhibit C**, are copies of Kroll's invoices. As set forth on these invoices, the cost of administration for this project was \$127,256.78 in fees and expenses. To date, Kroll has received payment of their fees and expenses. Kroll estimates that it will bill an additional \$19,125.04 to account for work done in the completion of the administration of this Settlement. Attached hereto as Exhibit D is a detailed "Cost-to-Complete Estimate" setting forth all of the work that remains to be done. In addition, Kroll estimates a reserve of \$25,500.00 is necessary to pay for future Settlement Fund Tax and Tax Expenses.

## **X. DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUND**

37. Should the Court concur with Kroll's determinations concerning the provisionally accepted and rejected Claims, including the Late But Otherwise Eligible Claims, Kroll recommends the following distribution plan (the "Distribution Plan"):

a. Kroll will conduct an Initial Distribution of the available balance of the Net Settlement Fund, after deducting all payments previously approved by the Court and requested herein, and after deducting payment of any estimated Taxes, the costs of preparing tax returns, and other expenses incorporated into the Cost to Complete Estimate as follows:

- i. Pursuant to the Court-approved Plan of Allocation, Kroll will calculate each Authorized Claimant's *pro rata* share of the Net Settlement Fund based on the amount of the Authorized Claimant's Recognized Claim in comparison to the total Recognized Claims of all Authorized Claimants.
- ii. Kroll will, pursuant to the Court-approved Plan of Allocation, eliminate from the distribution any Authorized Claimant whose *pro rata* share of the Net Settlement Fund as calculated under subparagraph (a)(i) above is less than \$10.00. Such claimants will not receive any payment from the Net Settlement Fund.
- iii. After eliminating claimants who would have received less than \$10.00, Kroll will recalculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants who would have received \$10.00 or more pursuant to the calculation described in subparagraph (a)(i) above. This *pro rata* share is the Authorized Claimant's "Distribution Amount."



iv. To encourage Authorized Claimants to cash their distribution checks promptly, and to avoid or reduce future expenses relating to unpaid distribution checks, all Initial Distribution checks will bear the notation: “DEPOSIT PROMPTLY, VOID AND SUBJECT TO RE-DISTRIBUTION IF NOT NEGOTIATED WITHIN 60 DAYS OF DISTRIBUTION.”<sup>2</sup>

v. Authorized Claimants who do not cash their Initial Distribution checks within the time allotted or pursuant to further action as set forth in footnote 2, will irrevocably forfeit all recovery from the Settlement.

b. Consistent with the Court-approved Plan of Allocation, if any funds remain in the Net Settlement Fund after the Initial Distribution because of uncashed checks or otherwise, then, after Kroll has made reasonable and diligent efforts to have Authorized Claimants cash their distribution checks (which efforts shall consist of the extensive follow up efforts described in footnote 2), any balance remaining in the Net Settlement Fund six (6) months after the distribution, or as reasonably soon thereafter, shall, if Lead Counsel, in consultation with

---

<sup>2</sup> In an effort to have as many Authorized Claimants as possible cash their checks, Kroll will perform follow up with those Authorized Claimants whose checks are initially uncashed, either because they are returned to Kroll as undeliverable or because the Authorized Claimant simply did not cash the check after a period of time elapses (the “Outreach Program”). For Authorized Claimants whose checks are returned as undeliverable, Kroll will endeavor to locate new addresses by running the undeliverable addresses through the United States Postal Service’s National Change of Address database and, where appropriate, via Internet search techniques and by calling the Authorized Claimants. Where a new address is located, Kroll will update the database accordingly and re-issue a distribution check to the Authorized Claimant at the new address. For any Authorized Claimants whose checks are not returned but who simply do not cash their checks, Kroll will use a mix of automated calls, personalized telephone calls, and emails to urge such Authorized Claimants to cash their distribution checks. In the event an Authorized Claimant loses or damages his, her, or its check, or otherwise requires a new check, Kroll will issue replacement checks. Distribution reissues will be undertaken only upon written instructions from the Authorized Claimant, provided that the Authorized Claimant returns the previous check where appropriate. For all checks, Kroll will void the initial payment prior to reissuing a payment.

Kroll, determines it to be cost effective to do so, be redistributed, after payment of any unpaid fees and expenses incurred in administering the Settlement, including for such redistribution and after deducting payment of any estimated taxes, the costs of preparing appropriate tax returns and any escrow fees, to Authorized Claimants who have cashed their distribution checks and who would receive at least \$10.00 from such redistribution.

c. At such time as Lead Counsel, in consultation with Kroll, determines that the redistribution of funds remaining in the Net Settlement Fund is not cost effective, the remaining balance shall be contributed to MidPenn Legal Services, per paragraph 5.14 of the Settlement Agreement.

d. No new Claims may be accepted after September 27, 2024, and no further adjustments to Claims received on or before September 27, 2024, that would result in an increased Recognized Claim may be made for any reason after September 27, 2024.

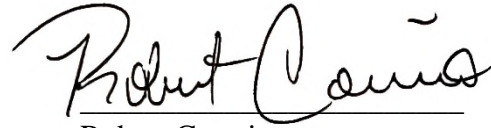
e. Unless otherwise ordered by the Court, Kroll will dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund.

## **XI. CONCLUSION**

38. Kroll respectfully requests that the Court enter an Order approving its administrative determinations accepting and rejecting the Claims received on or before September 27, 2024, and approving the proposed Distribution Plan.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed in Northport, New York on October 8, 2024.

A handwritten signature in black ink, appearing to read "Robert Cormio", written over a horizontal line.

Robert Cormio  
Senior Director  
Kroll Settlement Administration

# EXHIBIT A

Orrstown Securities Settlement  
Claims Administrator  
P.O. Box 5324  
New York, NY 10150-5324

Mailing Date: December 11, 2023  
Response Due Date: December 31, 2023  
Claim Number: PCF0000033



**NOTICE OF REJECTION OF YOUR CLAIM**

Dear Claimant(s):

We received the Proof of Claim and Release Form ("Claim") that you submitted in the *Orrstown Securities Settlement*. We reviewed and processed the Claim pursuant to the terms of the Court-approved Plan of Allocation, which is included in the Notice of Pendency of Class Action and Proposed Settlement, Motion for Attorneys' Fees, and Settlement Hearing ("Notice"). The Notice is available at the website for the settlement: [www.OrrstownSecuritiesSettlement.com](http://www.OrrstownSecuritiesSettlement.com). Based on our review of your Claim as submitted, we have determined that *the Claim is not eligible for a recovery* for the reason(s) listed on the reverse side of this notice.

**YOU DO NOT NEED TO DO ANYTHING IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE. IF, HOWEVER, YOU DISAGREE WITH OUR DETERMINATION AND BELIEVE YOU HAVE AN ELIGIBLE CLAIM, YOU MUST FOLLOW THE DIRECTIONS ON THE REVERSE OF THIS LETTER TO RESOLVE THE DEFICIENCIES WE HAVE IDENTIFIED.**

**NOTE** that you must include a copy of this notice with your response and you must include the Claim Number listed above on *all* of your correspondence and supporting documentation.

If a deficiency relates to documentation you previously submitted, please do not re-submit the same documentation that you included with your original submission, because we have determined that the documentation was incomplete or inadequate. If your response to this notice cures some but not all of the deficiencies we have identified, the Claim will be eligible for a recovery to the extent cured, *only if it calculates to a Recognized Claim under the Plan of Allocation*, and will be included in the list of eligible Claims presented to the Court for approval.

If you disagree with our determination to reject your Claim and wish to contest such rejection and request Court review, you must, within twenty (20) calendar days of the date of this notice, submit a notice and statement of reasons indicating your ground for contesting the rejection along with any supporting documentation. If an issue concerning your claim cannot be otherwise resolved, your request that the Court review the determination will be submitted to the Court. Please follow the instructions for requesting Court review of our rejection of your Claim that appear on the back of this letter. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT THIS CLAIM.**

Your response to this notice *must be postmarked* no later than the Response Due Date noted above. **If you fail to respond to this notice by the Response Due Date listed above, or if your response fails to sufficiently cure the ineligibility condition(s) identified in this notice, your Claim will be rejected in its entirety.**

If you have any questions regarding this notice or if you want to confirm the status of the Claim after you submit a response to this notice, please email [info@OrrstownSecuritiesSettlement.com](mailto:info@OrrstownSecuritiesSettlement.com) or call us toll-free at 1-833-709-0094. Other important information about the settlement is available at the settlement website: [www.OrrstownSecuritiesSettlement.com](http://www.OrrstownSecuritiesSettlement.com).

Very truly yours,

Kroll Settlement Administration

***PROBLEM(S) WITH YOUR CLAIM:***

**INELIGIBILITY CONDITION: No Documentation Provided.**

The Claim referenced above did not include any documentation to support the Claim.

**HOW TO RESOLVE:** You can resolve this condition of ineligibility by submitting acceptable documentation to support the Claim. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares purchased (acquired)/sold and the price paid/received per share. With respect to the required "holding" positions, the documentation need only support the number of shares held as of the date set forth in Claim Form. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

***RESPONSE INSTRUCTIONS:***

Enclose/attach any new supporting documentation that is required in order to complete your Claim, as well as a copy of this letter. Email to [info@OrrstownSecuritiesSettlement.com](mailto:info@OrrstownSecuritiesSettlement.com).

Or mail to:

Orrstown Securities Settlement  
c/o Kroll Settlement Administration  
P.O. Box 5324  
New York, NY 10150-5324

**INSTRUCTIONS FOR REQUESTING COURT REVIEW**

If you disagree with our rejection of your Claim, and wish to contest and request that the Court review our determination, you must send a letter to the Claims Administrator at the address listed on the front of this letter, postmarked no later than the Response Due Date listed above and it must: (1) clearly state that you "request that the Court review the rejection of this Claim," (2) state your reason(s) why this Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. You must also include a copy of this notice when requesting Court review of the rejection of this Claim. If your dispute with the determination to reject this Claim cannot otherwise be resolved, the Claim and its administrative rejection will be presented to the Court for review.

# **EXHIBIT B-1**

**TIMELY ELIGIBLE CLAIMS**

<b>Claim Number</b>	<b>Recognized Loss</b>
BFC0000256	\$14,317.19
BFC0000259	\$25,286.10
BFC0000260	\$4,266.05
BFC0000266	\$12,369.76
BFC0000280	\$576.15
BFC0000299	\$18,479.39
BFC0000301	\$3,228.75
BFC0000304	\$204.00
BFC0000308	\$10,244.15
BFC0000309	\$70.50
BFC0000311	\$36,479.62
BFC0000319	\$7,728.00
BFC0000320	\$1,663.50
BFC0000323	\$560.00
BFC0000324	\$308.00
BFC0000325	\$336.00
BFC0000326	\$345.80
BFC0000328	\$1,109.00
BFC0000329	\$15,226.57
BFC0000331	\$961.17
BFC0000335	\$321.10
BFC0000337	\$454.91
BFC0000341	\$472.00
BFC0000342	\$33,875.00
BFC0000343	\$263.75
BFC0000346	\$21.26
BFC0000347	\$180.67
BFC0000349	\$49.40
BFC0000350	\$2.63
BFC0000363	\$4,436.00
BFC0000368	\$76,424.80
BFC0000370	\$1,089.50
BFC0000372	\$33,501.20
BFC0000373	\$12,887.67
BFC0000374	\$27,483.30
BFC0000376	\$1,842.90
BFC0000377	\$177.44
BFC0000379	\$2,772.50
BFC0000382	\$4,746.52
BFC0000385	\$4,890.69
BFC0000387	\$2,661.60



BFC0000389	\$3,900.25
BFC0000390	\$1,932.00
BFC0000393	\$2,898.00
BFC0000394	\$3,681.88
BFC0000397	\$1,452.79
BFC0000401	\$209.10
BFC0000403	\$8,250.96
BFC0000404	\$40,223.43
BFC0000405	\$23,255.73
BFC0000406	\$443.60
BFC0000407	\$1,109.00
BFC0000408	\$14,283.75
BFC0000409	\$14,742.00
BFC0000410	\$1,552.60
BFC0000411	\$1,845.00
BFC0000412	\$8,483.99
BFC0000413	\$40,565.87
BFC0000418	\$638.40
BFC0000420	\$4,238.22
BFC0000422	\$2,221.80
BFC0000423	\$1,109.00
BFC0000424	\$13,709.24
BFC0000425	\$2,148.90
BFC0000437	\$161,625.66
BFC0000440	\$26,325.00
BFC0000443	\$8,398.00
BFC0000444	\$1,644.00
BFC0000447	\$22,024.74
BFC0000450	\$1,474.97
BFC0000451	\$1,075.73
BFC0000452	\$1,877.54
BFC0000455	\$2,218.00
BFC0000461	\$209,279.39
BFC0000463	\$2,335,986.51
BFC0000464	\$518.73
BFC0000467	\$5,021.52
BFC0000469	\$29,851.92
BFC0000470	\$34,744.96
BFC0000472	\$1,906.06
BFC0000473	\$3,386.67
BFC0000474	\$66,617.04
BFC0000475	\$58,336.64
BFC0000478	\$138,119.05
BFC0000479	\$62,772.58

BFC0000481	\$5,239.00
BFC0000482	\$3,757.00
BFC0000483	\$15,597.40
BFC0000484	\$43,173.00
BFC0000485	\$23,028.76
BFC0000486	\$4,324.00
BFC0000487	\$112.00
BFC0000499	\$1,393.67
BFC0000502	\$112.00
BFC0000503	\$88,720.00
BFC0000504	\$1,572.48
BFC0000506	\$16,612.82
BFC0000507	\$5,545.00
BFC0000508	\$23,451.47
BFC0000509	\$11,200.90
BFC0000510	\$42,142.00
BFC0000511	\$3,149.56
BFC0000519	\$6.72
BFC0000526	\$14.82
BFC0000527	\$62.52
BFC0000544	\$12,600.00
BFC0000546	\$492.66
BFC0000547	\$499.05
BFC0000548	\$4,321.80
BFC0000549	\$17,388.00
BFC0000558	\$5,384.60
BFC0000559	\$22.18
BFC0000560	\$5,139.10
BFC0000561	\$1,746.53
BFC0000562	\$21,424.48
BFC0000563	\$41,935.12
BFC0000565	\$39,016.54
BFC0000566	\$40,968.04
BFC0000568	\$148,196.15
BFC0000569	\$968,725.43
BFC0000570	\$22,598.93
BFC0000571	\$125,483.35
BFC0000572	\$7,330.49
BFC0000573	\$2,561.79
BFC0000574	\$177,282.38
BFC0000575	\$2,440.82
BFC0000576	\$6,949.15
BFC0000577	\$521,709.43
BFC0000579	\$762,253.54

BFC0000581	\$6,158.38
BFC0000582	\$5,300.36
BFC0000583	\$2,249.80
BFC0000584	\$904.64
BFC0000585	\$2,249.80
BFC0000586	\$1,714.88
BFC0000587	\$1,382.92
BFC0000588	\$3,173.25
BFC0000589	\$1,766.80
BFC0000590	\$20,155.94
BFC0000591	\$8,365.86
BFC0000593	\$1,719.60
BFC0000594	\$2,904.28
BFC0000595	\$6,354.44
BFC0000596	\$2,292.28
BFC0000597	\$4,043.74
BFC0000600	\$298,791.68
BFC0000601	\$56,396.38
BFC0000602	\$91,697.48
BFC0000603	\$6,762.00
BFC0000604	\$103.11
BFC0000613	\$21,613.12
BFC0000614	\$990.73
BFC0000615	\$9,329.06
BFC0000617	\$1,161.00
BFC0000627	\$21.00
BFC0000632	\$5,545.00
BFC0000637	\$3,952.00
BFC0000639	\$6,762.00
BFC0000640	\$1,053.00
BFC0000650	\$3,327.00
BFC0000654	\$5,545.00
BFC0000657	\$9,660.00
BFC0000660	\$1,677.00
BFC0000668	\$4,436.00
BFC0000669	\$11,090.00
BFC0000677	\$3,327.00
BFC0000678	\$5,545.00
BFC0000685	\$7,763.00
BFC0000686	\$2,218.00
BFC0000691	\$4,325.10
BFC0000692	\$3,327.00
BFC0000694	\$31,590.00
BFC0000698	\$22,180.00

BFC0000701	\$2,795.00
BFC0000708	\$1,044.60
BFC0000712	\$2,218.00
BFC0000714	\$10,530.00
BFC0000715	\$2,218.00
BFC0000717	\$3,327.00
BFC0000721	\$1,579.50
BFC0000723	\$1,663.50
BFC0000726	\$10,530.00
BFC0000727	\$4,436.00
BFC0000728	\$2,218.00
BFC0000733	\$2,304.00
BFC0000737	\$110,900.00
BFC0000747	\$1,230.00
BFC0000751	\$1.40
BFC0000756	\$1,230.00
BFC0000759	\$16,685.50
BFC0000760	\$2,218.00
BFC0000762	\$1,111.50
BFC0000765	\$134,277.89
BFC0000768	\$848.00
BFC0000769	\$1,454.50
BFC0000771	\$4,274.60
BFC0000772	\$1,454.50
BFC0000789	\$3,512.70
BFC0000795	\$3,159.00
BFC0000800	\$287.35
BFC0000801	\$243.70
BFC0000802	\$212.52
BFC0000803	\$521.54
BFC0000804	\$292.22
BFC0000805	\$316.92
BFC0000806	\$306.28
BFC0000807	\$503.88
BFC0000808	\$311.98
BFC0000809	\$311.98
BFC0000810	\$681.70
BFC0000811	\$312.42
BFC0000812	\$820.04
BFC0000813	\$165.54
BFC0000814	\$219.44
BFC0000815	\$367.89
BFC0000816	\$147.54
BFC0000817	\$384.98

BFC0000818	\$150.72
BFC0000819	\$141.06
BFC0000820	\$877.54
BFC0000821	\$146.38
BFC0000822	\$297.90
BFC0000823	\$214.94
BFC0000824	\$176.89
BFC0000825	\$258.08
BFC0000826	\$214.72
BFC0000828	\$241.56
BFC0000830	\$170.70
BFC0000831	\$360.28
BFC0000832	\$307.04
BFC0000833	\$170.70
BFC0000834	\$160.60
BFC0000835	\$550.79
BFC0000836	\$212.52
BFC0000837	\$311.22
BFC0000838	\$141.06
BFC0000839	\$229.10
BFC0000840	\$155.52
BFC0000843	\$399.24
BFC0000844	\$555.90
BFC0000845	\$155.88
BFC0000846	\$165.54
BFC0000847	\$126.24
BFC0000848	\$164.22
BFC0000849	\$195.18
BFC0000850	\$200.12
BFC0000851	\$165.76
BFC0000852	\$198.72
BFC0000853	\$253.80
BFC0000854	\$521.32
BFC0000856	\$103.74
BFC0000857	\$287.28
BFC0000858	\$1,124.86
BFC0000859	\$170.70
BFC0000860	\$335.27
BFC0000861	\$79.04
BFC0000863	\$148.20
BFC0000864	\$135.90
BFC0000865	\$516.60
BFC0000866	\$170.70
BFC0000867	\$199.68

BFC0000868	\$183.54
BFC0000869	\$193.20
BFC0000870	\$209.34
BFC0000871	\$248.86
BFC0000872	\$297.16
BFC0000873	\$214.72
BFC0000874	\$1,358.68
BFC0000875	\$251.94
BFC0000876	\$79.04
BFC0000877	\$160.60
BFC0000878	\$74.10
BFC0000879	\$253.80
BFC0000880	\$150.72
BFC0000881	\$141.06
BFC0000882	\$180.36
BFC0000883	\$248.64
BFC0000884	\$96.60
BFC0000885	\$282.78
BFC0000886	\$380.04
BFC0000887	\$268.40
BFC0000888	\$88.92
BFC0000889	\$842.74
BFC0000890	\$170.70
BFC0000891	\$302.52
BFC0000892	\$1,580.80
BFC0000893	\$190.24
BFC0000894	\$214.72
BFC0000895	\$136.12
BFC0000896	\$131.18
BFC0000897	\$180.36
BFC0000898	\$311.76
BFC0000899	\$34.58
BFC0000900	\$160.82
BFC0000901	\$312.78
BFC0000902	\$20.28
BFC0000903	\$131.18
BFC0000904	\$160.82
BFC0000905	\$131.18
BFC0000906	\$150.94
BFC0000907	\$175.42
BFC0000908	\$135.24
BFC0000909	\$135.90
BFC0000910	\$170.70
BFC0000911	\$140.84

BFC0000912	\$126.24
BFC0000913	\$142.22
BFC0000914	\$126.24
BFC0000915	\$146.00
BFC0000916	\$205.06
BFC0000917	\$315.60
BFC0000918	\$170.70
BFC0000919	\$133.38
BFC0000920	\$106.26
BFC0000921	\$692.24
BFC0000922	\$167.96
BFC0000923	\$238.32
BFC0000924	\$167.96
BFC0000925	\$302.10
BFC0000926	\$195.18
BFC0000927	\$159.43
BFC0000929	\$6,842.58
BFC0000930	\$83.98
BFC0000931	\$158.08
BFC0000932	\$423.40
BFC0000933	\$126.24
BFC0000934	\$302.76
BFC0000935	\$599.04
BFC0000936	\$886.83
BFC0000937	\$1,129.22
BFC0000938	\$311.76
BFC0000939	\$141.06
BFC0000940	\$98.80
BFC0000941	\$83.98
BFC0000942	\$165.54
BFC0000943	\$93.86
BFC0000944	\$180.58
BFC0000946	\$150.72
BFC0000947	\$241.50
BFC0000948	\$334.92
BFC0000949	\$128.44
BFC0000950	\$234.04
BFC0000951	\$267.96
BFC0000952	\$2,218.00
BFC0000953	\$8,409.12
BFC0000955	\$55,560.90
BFC0000956	\$587.77
BFC0000957	\$177,153.90
BFC0000958	\$711,065.04

BFC0000959	\$15,566.75
BFC0000960	\$18,919.28
BFC0000961	\$2,473.07
BFC0000963	\$261.50
BFC0000964	\$35,632.28
BFC0000967	\$15,102.81
BFC0000971	\$33,270.00
BFC0000975	\$2,672.54
BFC0000976	\$26,690.68
BFC0000977	\$1,941.42
BFC0000979	\$89.52
BFC0000981	\$4,468.95
BFC0000982	\$5,080.71
BFC0000983	\$41,352.40
BFC0000984	\$9,281.97
BFC0000985	\$92,507.77
BFC0000986	\$1,186.26
BFC0000989	\$24,497.56
BFC0000993	\$15,107.23
BFC0000994	\$10,013.23
BFC0000995	\$78,322.96
BFC0000996	\$12,369.76
BFC0000998	\$4.94
BFC0000999	\$1,260.62
BFC0001000	\$701.58
BFC0001001	\$915.68
BFC0001002	\$1,368.28
BFC0001003	\$18,623.83
BFC0001004	\$4,940.00
BFC0001014	\$14,173.02
BFC0001016	\$2,961.03
BFC0001017	\$676.49
BFC0001018	\$105,111.02
BFC0001020	\$5,201.21
BFC0001023	\$23,111.56
BFC0001033	\$4,868.51
BFC0001037	\$40,385.87
BFC0001040	\$22,978.48
BFC0001042	\$13,673.97
BFC0001043	\$3,181.36
BFC0001044	\$2,428.71
BFC0001046	\$7,763.00
BFC0001047	\$227,428.74
BFC0001048	\$321,500.00



BFC0001049	\$10,702.13
BFC0001050	\$80,424.95
BFC0001051	\$8,350.77
BFC0001052	\$529.12
BFC0001053	\$4,282.98
BFC0001054	\$6,160.57
BFC0001055	\$543.31
BFC0001056	\$3,136.90
BFC0001057	\$26,503.10
BFC0001058	\$17,413.50
BFC0001059	\$4,421.30
BFC0001061	\$434.72
BFC0001062	\$7,706.40
BFC0001063	\$2,608.32
BFC0001064	\$414.96
BFC0001065	\$3,206.06
BFC0001066	\$780.52
BFC0001067	\$657.02
BFC0001068	\$1,062.10
BFC0001069	\$573.04
BFC0001070	\$358.19
BFC0001071	\$1,190.54
BFC0001072	\$212.42
BFC0001073	\$791.29
BFC0001075	\$142,018.54
BFC0001080	\$55.45
BFC0001081	\$221.80
BFC0001082	\$2,284.41
BFC0001085	\$110.90
BFC0001086	\$483.00
BFC0001088	\$3,914.77
BFC0001090	\$200,351.94
BFC0001091	\$2,465.22
BFC0001093	\$7,858.61
BFC0001094	\$225.46
BFC0001095	\$1,852.03
BFC0001097	\$20,844.06
BFC0001099	\$10,202.80
BFC0001101	\$110.90
BFC0001104	\$500.49
BFC0001105	\$15,597.40
BFC0001108	\$3,929.90
BFC0001109	\$26,759.76
BFC0001110	\$289.80

BFC0001112	\$2,328.90
BFC0001115	\$1,679.60
BFC0001118	\$386.40
BFC0001120	\$18,686.65
BFC0001123	\$4,568.80
BFC0001124	\$4,857.38
BFC0001125	\$49.40
BFC0001128	\$202,186.58
BFC0001130	\$26,899.63
BFC0001131	\$17,340.95
BFC0001132	\$22.40
BFC0001133	\$11.00
BFC0001134	\$4.39
BFC0001137	\$193.20
BFC0001139	\$642.20
BFC0001140	\$75,224.72
BFC0001143	\$14.98
BFC0001144	\$741.00
BFC0001145	\$4,198.30
BFC0001146	\$736.71
BFC0001151	\$12,129.51
BFC0001152	\$49.40
BFC0001153	\$1,386.25
BFC0001156	\$4,520.49
BFC0001157	\$19,963.20
BFC0001158	\$427,342.00
BFC0001159	\$150,058.00
BFC0001161	\$709.76
BFC0001197	\$3.72
BFC0001198	\$3,870.41
BFC0001199	\$3,176.24
BFC0001228	\$833.36
BFC0001237	\$946.40
BFC0001238	\$1,985.11
BFC0001239	\$3,781.69
BFC0001240	\$1,313.76
BFC0001241	\$743.03
BFC0001242	\$147.84
BFC0001244	\$14.40
BFC0001245	\$22.72
BFC0001246	\$4,992.00
BFC0001248	\$952.00
BFC0001249	\$502.32
BFC0001250	\$343.79

BFC0001252	\$3,274.00
BFC0001253	\$8,818.02
BFC0001254	\$1,416.66
BFC0001255	\$927.36
BFC0001256	\$487.96
BFC0001257	\$19.38
BFC0001258	\$483.00
BFC0001260	\$552.24
BFC0001262	\$1,141.14
BFC0001264	\$388.15
BFC0001265	\$388.15
BFC0001266	\$421.42
BFC0001267	\$4,380.16
BFC0001268	\$99.60
BFC0001269	\$16.80
BFC0001271	\$964.83
BFC0001272	\$1,678.95
BFC0001273	\$830.76
BFC0001274	\$510.14
BFC0001276	\$1,230.00
BFC0001277	\$7,349.04
BFC0001279	\$237.39
BFC0001280	\$494.90
BFC0001281	\$930.40
BFC0001282	\$2,364.60
BFC0001283	\$576.68
BFC0001284	\$354.88
BFC0001285	\$343.79
BFC0001286	\$388.15
BFC0001287	\$4,608.75
BFC0001288	\$9,784.56
BFC0001289	\$1,109.36
BFC0001290	\$6,907.88
BFC0001291	\$187.72
BFC0001293	\$1,352.98
BFC0001294	\$454.69
BFC0001295	\$7,987.65
BFC0001296	\$927.36
BFC0001297	\$20,156.24
BFC0001298	\$435.65
BFC0001299	\$3,196.05
BFC0001300	\$291.46
BFC0001301	\$84.21
BFC0001302	\$132.16

BFC0001303	\$282.49
BFC0001304	\$26.32
BFC0001305	\$26.00
BFC0001306	\$1,601.55
BFC0001307	\$4,396.60
BFC0001309	\$865.02
BFC0001312	\$3,250.40
BFC0001313	\$478.48
BFC0001314	\$6,281.65
BFC0001315	\$270.61
BFC0001316	\$200.78
BFC0001317	\$519.40
BFC0001318	\$568.75
BFC0001319	\$8.01
BFC0001321	\$8,568.42
BFC0001322	\$2,638.40
BFC0001323	\$3,881.50
BFC0001324	\$3,881.50
BFC0001325	\$3,881.50
BFC0001326	\$130.50
BFC0001329	\$75.50
BFC0001333	\$24.00
BFC0001336	\$446.94
BFC0001337	\$5,507.00
BFC0001339	\$49.40
BFC0001367	\$14,820.00
BFC0001537	\$1,109.00
BFC0001541	\$22,019.20
BFC0001543	\$8,823.00
BFC0001544	\$9,615.03
BFC0001547	\$1,109.00
BFC0001548	\$36,042.50
BFC0001549	\$152,426.86
BFC0001550	\$67,649.00
BFC0001551	\$7,763.00
BFC0001552	\$11,090.00
BFC0001553	\$15,526.00
BFC0001554	\$16,635.00
BFC0001557	\$1,486.74
BFC0001558	\$38,234.65
BFC0001559	\$43,936.00
BFC0001560	\$2,374.43
BFC0001561	\$11,592.00
BFC0001563	\$2,649.90

BFC0001564	\$9,981.00
BFC0001565	\$123,072.00
BFC0001566	\$3,327.00
BFC0001567	\$1,109.00
BFC0001568	\$13,863.10
BFC0001569	\$360.62
BFC0001571	\$1,972.68
PCF0000001	\$130.91
PCF0000004	\$931.09
PCF0000005	\$55.45
PCF0000006	\$9,765.00
PCF0000007	\$2,743.92
PCF0000008	\$1,495.64
PCF0000009	\$400.45
PCF0000011	\$23,878.00
PCF0000012	\$7,320.00
PCF0000013	\$1,009.88
PCF0000015	\$480.00
PCF0000016	\$2,596.63
PCF0000017	\$924.13
PCF0000018	\$835.15
PCF0000019	\$4,620.00
PCF0000020	\$2,218.00
PCF0000021	\$1,932.00
PCF0000022	\$3,864.00
PCF0000023	\$12,199.00
PCF0000024	\$1,185.60
PCF0000027	\$4,436.00
PCF0000030	\$361.08
PCF0000031	\$300.62
PCF0000032	\$285.00
PCF0000035	\$361.05
PCF0000037	\$1,241.80
PCF0000038	\$2,927.76
PCF0000039	\$449.34
PCF0000041	\$442.49
PCF0000042	\$3,795.00
PCF0000043	\$4,720.30
PCF0000044	\$10,658.80
PCF0000048	\$1,741.13
PCF0000049	\$617.50
PCF0000050	\$9,120.00
PCF0000051	\$8,136.00
PCF0000053	\$51.90

PCF0000055	\$59.92
PCF0000057	\$8,317.50
PCF0000058	\$203.23
PCF0000059	\$3,567.70
PCF0000060	\$3,173.22
PCF0000061	\$7,319.40
PCF0000062	\$5,900.00
PCF0000063	\$9,225.00
WCF0000029	\$40.63
WCF0000030	\$8,902.73
WCF0000033	\$567.02
WCF0000037	\$56.66
WCF0000040	\$2,080.30
WCF0000041	\$687.58
WCF0000042	\$58.19
WCF0000043	\$67.75
WCF0000044	\$134.00
WCF0000045	\$276.36
WCF0000046	\$7.20
WCF0000047	\$1,205.99
WCF0000063	\$7,497.00
WCF0000064	\$2,068.00
WCF0000096	\$99.30
WCF0000097	\$173.11
WCF0000098	\$400.82
WCF0000099	\$1,109.00
WCF0000104	\$9,386.98
WCF0000233	\$938.35
WCF0000234	\$251.99
WCF0000237	\$1,441.70
WCF0000242	\$8,650.00
WCF0000243	\$9,466.90
WCF0000244	\$25,648.77
WCF0000245	\$2,041.20
<b><u>647</u></b>	<b><u>\$12,880,731.00</u></b>

# **EXHIBIT B-2**

**LATE BUT OTHERWISE ELIGIBLE CLAIMS**

<b>Claim Number</b>	<b>Recognized Loss</b>
BFC0001364	\$135.04
BFC0001366	\$128,486.17
BFC0001384	\$2,611.70
BFC0001572	\$231.84
BFC0001573	\$260.82
BFC0001574	\$210.00
BFC0001575	\$321.42
<b><u>7</u></b>	<b><u>\$132,256.99</u></b>



# EXHIBIT B-3

**REJECTED CLAIMS**

<b>Claim Number</b>	<b>Reason for Rejection</b>
BFC0000247	No Recognized Claim
BFC0000248	No Recognized Claim
BFC0000249	No Recognized Claim
BFC0000250	No Recognized Claim
BFC0000251	Deficient Claim Never Cured
BFC0000252	No Recognized Claim
BFC0000253	No Recognized Claim
BFC0000254	No Recognized Claim
BFC0000255	No Recognized Claim
BFC0000257	No Recognized Claim
BFC0000258	No Recognized Claim
BFC0000261	No Recognized Claim
BFC0000262	No Recognized Claim
BFC0000263	No Recognized Claim
BFC0000264	Deficient Claim Never Cured
BFC0000265	No Recognized Claim
BFC0000267	No Recognized Claim
BFC0000268	No Recognized Claim
BFC0000269	No Recognized Claim
BFC0000270	No Recognized Claim
BFC0000271	No Recognized Claim
BFC0000272	No Recognized Claim
BFC0000273	No Recognized Claim
BFC0000274	No Recognized Claim
BFC0000275	No Recognized Claim
BFC0000276	No Recognized Claim
BFC0000277	No Recognized Claim
BFC0000278	No Recognized Claim
BFC0000279	No Recognized Claim
BFC0000281	No Recognized Claim
BFC0000282	No Recognized Claim
BFC0000283	No Recognized Claim
BFC0000284	No Recognized Claim
BFC0000285	No Recognized Claim
BFC0000286	No Recognized Claim
BFC0000287	No Recognized Claim
BFC0000288	No Recognized Claim
BFC0000289	No Recognized Claim
BFC0000290	No Recognized Claim
BFC0000291	No Recognized Claim
BFC0000292	No Recognized Claim

BFC0000293	No Recognized Claim
BFC0000294	No Recognized Claim
BFC0000295	No Recognized Claim
BFC0000296	No Recognized Claim
BFC0000297	No Recognized Claim
BFC0000298	No Recognized Claim
BFC0000300	No Recognized Claim
BFC0000302	No Recognized Claim
BFC0000303	Not a Class Member
BFC0000305	No Recognized Claim
BFC0000306	No Recognized Claim
BFC0000307	No Recognized Claim
BFC0000310	Not a Class Member
BFC0000312	No Recognized Claim
BFC0000313	No Recognized Claim
BFC0000314	No Recognized Claim
BFC0000321	Not a Class Member
BFC0000322	Not a Class Member
BFC0000327	No Recognized Claim
BFC0000330	No Recognized Claim
BFC0000332	No Recognized Claim
BFC0000333	Deficient Claim Never Cured
BFC0000334	Deficient Claim Never Cured
BFC0000336	No Recognized Claim
BFC0000338	No Recognized Claim
BFC0000339	Deficient Claim Never Cured
BFC0000340	Deficient Claim Never Cured
BFC0000344	No Recognized Claim
BFC0000345	No Recognized Claim
BFC0000348	Deficient Claim Never Cured
BFC0000351	Not a Class Member
BFC0000352	No Recognized Claim
BFC0000353	No Recognized Claim
BFC0000354	No Recognized Claim
BFC0000355	No Recognized Claim
BFC0000356	Deficient Claim Never Cured
BFC0000357	Not a Class Member
BFC0000360	Not a Class Member
BFC0000361	Not a Class Member
BFC0000362	No Recognized Claim
BFC0000364	No Recognized Claim
BFC0000365	No Recognized Claim
BFC0000366	No Recognized Claim
BFC0000367	No Recognized Claim

BFC0000369	Deficient Claim Never Cured
BFC0000371	Deficient Claim Never Cured
BFC0000375	No Recognized Claim
BFC0000378	Not a Class Member
BFC0000380	Not a Class Member
BFC0000381	Not a Class Member
BFC0000383	Not a Class Member
BFC0000384	Not a Class Member
BFC0000386	No Recognized Claim
BFC0000388	Not a Class Member
BFC0000391	Not a Class Member
BFC0000392	No Recognized Claim
BFC0000395	Deficient Claim Never Cured
BFC0000396	Not a Class Member
BFC0000398	Not a Class Member
BFC0000399	No Recognized Claim
BFC0000400	Not a Class Member
BFC0000402	Not a Class Member
BFC0000414	No Recognized Claim
BFC0000415	Deficient Claim Never Cured
BFC0000416	Not a Class Member
BFC0000417	Deficient Claim Never Cured
BFC0000419	Not a Class Member
BFC0000421	No Recognized Claim
BFC0000426	Not a Class Member
BFC0000427	Not a Class Member
BFC0000428	Not a Class Member
BFC0000429	Not a Class Member
BFC0000430	No Recognized Claim
BFC0000431	Not a Class Member
BFC0000432	Deficient Claim Never Cured
BFC0000433	No Recognized Claim
BFC0000434	No Recognized Claim
BFC0000435	Deficient Claim Never Cured
BFC0000436	Not a Class Member
BFC0000438	Deficient Claim Never Cured
BFC0000439	Not a Class Member
BFC0000441	No Recognized Claim
BFC0000442	Not a Class Member
BFC0000445	No Recognized Claim
BFC0000446	Not a Class Member
BFC0000448	Not a Class Member
BFC0000449	Not a Class Member
BFC0000453	Not a Class Member

BFC0000454	No Recognized Claim
BFC0000456	Not a Class Member
BFC0000457	Not a Class Member
BFC0000458	Not a Class Member
BFC0000459	Deficient Claim Never Cured
BFC0000460	No Recognized Claim
BFC0000462	No Recognized Claim
BFC0000465	Not a Class Member
BFC0000466	Deficient Claim Never Cured
BFC0000468	Not a Class Member
BFC0000471	No Recognized Claim
BFC0000476	Deficient Claim Never Cured
BFC0000477	No Recognized Claim
BFC0000480	Not a Class Member
BFC0000488	Not a Class Member
BFC0000489	Not a Class Member
BFC0000490	Not a Class Member
BFC0000491	Deficient Claim Never Cured
BFC0000492	Not a Class Member
BFC0000493	Not a Class Member
BFC0000494	Deficient Claim Never Cured
BFC0000495	Not a Class Member
BFC0000496	Deficient Claim Never Cured
BFC0000497	Not a Class Member
BFC0000498	Not a Class Member
BFC0000500	No Recognized Claim
BFC0000501	Not a Class Member
BFC0000505	No Recognized Claim
BFC0000512	Deficient Claim Never Cured
BFC0000513	Deficient Claim Never Cured
BFC0000514	Deficient Claim Never Cured
BFC0000515	Not a Class Member
BFC0000516	Not a Class Member
BFC0000517	Not a Class Member
BFC0000518	Not a Class Member
BFC0000520	Not a Class Member
BFC0000521	Not a Class Member
BFC0000522	Not a Class Member
BFC0000523	Not a Class Member
BFC0000524	Not a Class Member
BFC0000525	Not a Class Member
BFC0000528	Deficient Claim Never Cured
BFC0000529	Deficient Claim Never Cured
BFC0000530	Not a Class Member

BFC0000531	Not a Class Member
BFC0000532	Not a Class Member
BFC0000533	Not a Class Member
BFC0000534	Not a Class Member
BFC0000535	Not a Class Member
BFC0000536	Not a Class Member
BFC0000537	Not a Class Member
BFC0000538	Not a Class Member
BFC0000539	Not a Class Member
BFC0000540	Not a Class Member
BFC0000541	Not a Class Member
BFC0000542	Deficient Claim Never Cured
BFC0000543	Not a Class Member
BFC0000545	Not a Class Member
BFC0000550	Deficient Claim Never Cured
BFC0000551	No Recognized Claim
BFC0000552	No Recognized Claim
BFC0000553	No Recognized Claim
BFC0000554	No Recognized Claim
BFC0000555	No Recognized Claim
BFC0000556	No Recognized Claim
BFC0000557	No Recognized Claim
BFC0000564	No Recognized Claim
BFC0000567	No Recognized Claim
BFC0000578	No Recognized Claim
BFC0000580	Deficient Claim Never Cured
BFC0000592	No Recognized Claim
BFC0000598	Not a Class Member
BFC0000599	Not a Class Member
BFC0000605	No Recognized Claim
BFC0000606	No Recognized Claim
BFC0000607	No Recognized Claim
BFC0000608	No Recognized Claim
BFC0000609	No Recognized Claim
BFC0000610	Not a Class Member
BFC0000611	No Recognized Claim
BFC0000612	No Recognized Claim
BFC0000616	No Recognized Claim
BFC0000618	No Recognized Claim
BFC0000619	No Recognized Claim
BFC0000620	No Recognized Claim
BFC0000621	No Recognized Claim
BFC0000622	No Recognized Claim
BFC0000623	No Recognized Claim

BFC0000624	No Recognized Claim
BFC0000625	No Recognized Claim
BFC0000626	No Recognized Claim
BFC0000628	No Recognized Claim
BFC0000629	No Recognized Claim
BFC0000630	Not a Class Member
BFC0000631	No Recognized Claim
BFC0000633	No Recognized Claim
BFC0000634	No Recognized Claim
BFC0000635	No Recognized Claim
BFC0000636	Not a Class Member
BFC0000638	No Recognized Claim
BFC0000641	No Recognized Claim
BFC0000642	No Recognized Claim
BFC0000643	No Recognized Claim
BFC0000644	No Recognized Claim
BFC0000645	No Recognized Claim
BFC0000646	No Recognized Claim
BFC0000647	Deficient Claim Never Cured
BFC0000648	No Recognized Claim
BFC0000649	No Recognized Claim
BFC0000651	No Recognized Claim
BFC0000652	No Recognized Claim
BFC0000653	No Recognized Claim
BFC0000655	No Recognized Claim
BFC0000656	No Recognized Claim
BFC0000658	No Recognized Claim
BFC0000659	No Recognized Claim
BFC0000661	No Recognized Claim
BFC0000662	No Recognized Claim
BFC0000663	Not a Class Member
BFC0000664	No Recognized Claim
BFC0000665	Not a Class Member
BFC0000666	No Recognized Claim
BFC0000667	No Recognized Claim
BFC0000670	Deficient Claim Never Cured
BFC0000671	Not a Class Member
BFC0000672	Not a Class Member
BFC0000673	Not a Class Member
BFC0000674	Not a Class Member
BFC0000675	Not a Class Member
BFC0000676	Not a Class Member
BFC0000679	No Recognized Claim
BFC0000680	No Recognized Claim

BFC0000681	No Recognized Claim
BFC0000682	No Recognized Claim
BFC0000683	No Recognized Claim
BFC0000684	No Recognized Claim
BFC0000687	No Recognized Claim
BFC0000688	No Recognized Claim
BFC0000689	No Recognized Claim
BFC0000690	No Recognized Claim
BFC0000693	No Recognized Claim
BFC0000695	Not a Class Member
BFC0000696	No Recognized Claim
BFC0000697	No Recognized Claim
BFC0000699	No Recognized Claim
BFC0000700	No Recognized Claim
BFC0000702	No Recognized Claim
BFC0000703	No Recognized Claim
BFC0000704	No Recognized Claim
BFC0000705	No Recognized Claim
BFC0000706	No Recognized Claim
BFC0000707	Deficient Claim Never Cured
BFC0000709	No Recognized Claim
BFC0000710	No Recognized Claim
BFC0000711	No Recognized Claim
BFC0000713	No Recognized Claim
BFC0000716	No Recognized Claim
BFC0000718	No Recognized Claim
BFC0000719	No Recognized Claim
BFC0000720	Not a Class Member
BFC0000722	No Recognized Claim
BFC0000724	Deficient Claim Never Cured
BFC0000725	No Recognized Claim
BFC0000729	No Recognized Claim
BFC0000730	Deficient Claim Never Cured
BFC0000731	No Recognized Claim
BFC0000732	No Recognized Claim
BFC0000734	No Recognized Claim
BFC0000735	No Recognized Claim
BFC0000736	No Recognized Claim
BFC0000738	No Recognized Claim
BFC0000739	Deficient Claim Never Cured
BFC0000740	No Recognized Claim
BFC0000741	Not a Class Member
BFC0000742	No Recognized Claim
BFC0000743	No Recognized Claim



BFC0000744	No Recognized Claim
BFC0000745	No Recognized Claim
BFC0000746	Deficient Claim Never Cured
BFC0000748	No Recognized Claim
BFC0000749	No Recognized Claim
BFC0000750	No Recognized Claim
BFC0000752	No Recognized Claim
BFC0000753	No Recognized Claim
BFC0000754	No Recognized Claim
BFC0000755	No Recognized Claim
BFC0000757	No Recognized Claim
BFC0000758	No Recognized Claim
BFC0000761	No Recognized Claim
BFC0000763	No Recognized Claim
BFC0000764	Not a Class Member
BFC0000766	No Recognized Claim
BFC0000767	Not a Class Member
BFC0000770	Not a Class Member
BFC0000773	Deficient Claim Never Cured
BFC0000774	Not a Class Member
BFC0000775	Not a Class Member
BFC0000776	No Recognized Claim
BFC0000777	No Recognized Claim
BFC0000778	No Recognized Claim
BFC0000779	No Recognized Claim
BFC0000780	No Recognized Claim
BFC0000781	Not a Class Member
BFC0000782	Not a Class Member
BFC0000783	No Recognized Claim
BFC0000784	No Recognized Claim
BFC0000785	Deficient Claim Never Cured
BFC0000786	Not a Class Member
BFC0000787	No Recognized Claim
BFC0000788	No Recognized Claim
BFC0000790	Not a Class Member
BFC0000791	Not a Class Member
BFC0000792	No Recognized Claim
BFC0000793	No Recognized Claim
BFC0000794	Not a Class Member
BFC0000796	No Recognized Claim
BFC0000797	No Recognized Claim
BFC0000798	Not a Class Member
BFC0000799	No Recognized Claim
BFC0000827	Not a Class Member

BFC0000829	No Recognized Claim
BFC0000841	Not a Class Member
BFC0000842	Not a Class Member
BFC0000855	No Recognized Claim
BFC0000862	No Recognized Claim
BFC0000928	Not a Class Member
BFC0000945	No Recognized Claim
BFC0000954	No Recognized Claim
BFC0000962	No Recognized Claim
BFC0000965	Deficient Claim Never Cured
BFC0000966	Not a Class Member
BFC0000968	Deficient Claim Never Cured
BFC0000969	Not a Class Member
BFC0000970	Not a Class Member
BFC0000972	Not a Class Member
BFC0000974	Not a Class Member
BFC0000978	No Recognized Claim
BFC0000980	No Recognized Claim
BFC0000987	Not a Class Member
BFC0000988	Deficient Claim Never Cured
BFC0000990	No Recognized Claim
BFC0000991	No Recognized Claim
BFC0000992	No Recognized Claim
BFC0000997	No Recognized Claim
BFC0001005	Not a Class Member
BFC0001006	Not a Class Member
BFC0001007	No Recognized Claim
BFC0001008	No Recognized Claim
BFC0001009	Not a Class Member
BFC0001010	No Recognized Claim
BFC0001011	No Recognized Claim
BFC0001012	No Recognized Claim
BFC0001013	Not a Class Member
BFC0001015	No Recognized Claim
BFC0001019	Not a Class Member
BFC0001021	Not a Class Member
BFC0001022	Not a Class Member
BFC0001024	Not a Class Member
BFC0001025	Duplicate Claim
BFC0001026	Deficient Claim Never Cured
BFC0001027	Deficient Claim Never Cured
BFC0001028	Not a Class Member
BFC0001029	Deficient Claim Never Cured
BFC0001030	Not a Class Member

BFC0001031	Duplicate Claim
BFC0001032	Duplicate Claim
BFC0001034	Duplicate Claim
BFC0001035	Duplicate Claim
BFC0001036	Duplicate Claim
BFC0001038	Duplicate Claim
BFC0001039	Not a Class Member
BFC0001041	No Recognized Claim
BFC0001045	Deficient Claim Never Cured
BFC0001060	No Recognized Claim
BFC0001074	No Recognized Claim
BFC0001076	Not a Class Member
BFC0001077	Deficient Claim Never Cured
BFC0001078	Not a Class Member
BFC0001079	Not a Class Member
BFC0001083	Not a Class Member
BFC0001084	Not a Class Member
BFC0001087	Not a Class Member
BFC0001089	No Recognized Claim
BFC0001092	Not a Class Member
BFC0001096	No Recognized Claim
BFC0001098	No Recognized Claim
BFC0001100	Not a Class Member
BFC0001102	No Recognized Claim
BFC0001103	Not a Class Member
BFC0001106	Not a Class Member
BFC0001107	Not a Class Member
BFC0001111	No Recognized Claim
BFC0001113	Not a Class Member
BFC0001114	No Recognized Claim
BFC0001116	Not a Class Member
BFC0001117	Deficient Claim Never Cured
BFC0001119	No Recognized Claim
BFC0001121	No Recognized Claim
BFC0001122	Not a Class Member
BFC0001126	No Recognized Claim
BFC0001127	No Recognized Claim
BFC0001129	No Recognized Claim
BFC0001135	Not a Class Member
BFC0001136	No Recognized Claim
BFC0001138	Not a Class Member
BFC0001141	Not a Class Member
BFC0001142	No Recognized Claim
BFC0001147	No Recognized Claim

BFC0001148	No Recognized Claim
BFC0001149	Not a Class Member
BFC0001150	No Recognized Claim
BFC0001154	Not a Class Member
BFC0001155	Deficient Claim Never Cured
BFC0001160	No Recognized Claim
BFC0001196	No Recognized Claim
BFC0001200	Deficient Claim Never Cured
BFC0001201	Deficient Claim Never Cured
BFC0001202	Deficient Claim Never Cured
BFC0001203	Deficient Claim Never Cured
BFC0001204	Deficient Claim Never Cured
BFC0001205	Not a Class Member
BFC0001206	Not a Class Member
BFC0001207	Not a Class Member
BFC0001208	Not a Class Member
BFC0001209	No Recognized Claim
BFC0001210	Deficient Claim Never Cured
BFC0001211	Deficient Claim Never Cured
BFC0001212	Not a Class Member
BFC0001213	Deficient Claim Never Cured
BFC0001214	Deficient Claim Never Cured
BFC0001215	Not a Class Member
BFC0001216	Deficient Claim Never Cured
BFC0001217	Deficient Claim Never Cured
BFC0001218	Not a Class Member
BFC0001219	Deficient Claim Never Cured
BFC0001220	Deficient Claim Never Cured
BFC0001221	Not a Class Member
BFC0001222	Not a Class Member
BFC0001223	Deficient Claim Never Cured
BFC0001224	Deficient Claim Never Cured
BFC0001225	Deficient Claim Never Cured
BFC0001226	Deficient Claim Never Cured
BFC0001227	Deficient Claim Never Cured
BFC0001229	Deficient Claim Never Cured
BFC0001230	Deficient Claim Never Cured
BFC0001231	Not a Class Member
BFC0001232	Deficient Claim Never Cured
BFC0001233	Deficient Claim Never Cured
BFC0001234	Deficient Claim Never Cured
BFC0001235	Deficient Claim Never Cured
BFC0001236	Not a Class Member
BFC0001243	No Recognized Claim

BFC0001247	No Recognized Claim
BFC0001251	No Recognized Claim
BFC0001259	No Recognized Claim
BFC0001261	No Recognized Claim
BFC0001263	Deficient Claim Never Cured
BFC0001270	No Recognized Claim
BFC0001275	No Recognized Claim
BFC0001278	No Recognized Claim
BFC0001292	No Recognized Claim
BFC0001308	No Recognized Claim
BFC0001310	Deficient Claim Never Cured
BFC0001311	Deficient Claim Never Cured
BFC0001320	Deficient Claim Never Cured
BFC0001327	No Recognized Claim
BFC0001328	Duplicate Claim
BFC0001330	No Recognized Claim
BFC0001331	No Recognized Claim
BFC0001332	No Recognized Claim
BFC0001334	Deficient Claim Never Cured
BFC0001335	Deficient Claim Never Cured
BFC0001338	Not a Class Member
BFC0001365	Deficient Claim Never Cured
BFC0001373	No Recognized Claim
BFC0001380	Not a Class Member
BFC0001381	Not a Class Member
BFC0001382	Not a Class Member
BFC0001383	Not a Class Member
BFC0001385	No Recognized Claim
BFC0001536	Not a Class Member
BFC0001538	No Recognized Claim
BFC0001539	No Recognized Claim
BFC0001540	Not a Class Member
BFC0001542	Not a Class Member
BFC0001545	Not a Class Member
BFC0001546	Deficient Claim Never Cured
BFC0001555	Not a Class Member
BFC0001556	Not a Class Member
BFC0001562	Not a Class Member
BFC0001570	No Recognized Claim
PCF0000002	Deficient Claim Never Cured
PCF0000003	Not a Class Member
PCF0000010	Deficient Claim Never Cured
PCF0000014	Deficient Claim Never Cured
PCF0000025	No Recognized Claim

PCF0000026	Deficient Claim Never Cured
PCF0000028	Deficient Claim Never Cured
PCF0000029	Not a Class Member
PCF0000033	Deficient Claim Never Cured
PCF0000034	Deficient Claim Never Cured
PCF0000036	Deficient Claim Never Cured
PCF0000040	Not a Class Member
PCF0000045	Deficient Claim Never Cured
PCF0000046	Deficient Claim Never Cured
PCF0000047	Deficient Claim Never Cured
PCF0000052	Deficient Claim Never Cured
PCF0000054	Deficient Claim Never Cured
PCF0000056	Claim Withdrawn
WCF0000038	Deficient Claim Never Cured
WCF0000100	Deficient Claim Never Cured
WCF0000102	No Recognized Claim
WCF0000103	Duplicate Claim
WCF0000235	Deficient Claim Never Cured
WCF0000236	Deficient Claim Never Cured
WCF0000238	Deficient Claim Never Cured
WCF0000239	Deficient Claim Never Cured
WCF0000240	Deficient Claim Never Cured

---

# EXHIBIT C





Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 1,245.00**  
 Invoice No: PA00639632  
 Sequence No: 2606  
 Invoice Date: January 20, 2023  
 Due Date: January 21, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through December 31, 2022

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered USD 1,245.00

**Total Due:** **USD 1,245.00**

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 312-980-9585  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Notification/Correspondence Fees: Set up, format and proof the notice & claim form	2.30	150.00	345.00
Project Management: Project management	6.00	150.00	900.00
Total:			1,245.00



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

<b>Total Due:</b>	<b>USD 33,173.00</b>
Invoice No:	PA00648067
Sequence No:	2841
Invoice Date:	February 22, 2023
Due Date:	February 23, 2023
Project No:	165004
Customer No:	5948914
Contact:	Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through January 31, 2023

---

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 798.00
Other Professional Services Rendered	32,375.00
<b>Total Due:</b>	<b>USD 33,173.00</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 312-980-9585  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

Description	Hours	Rate	Amount
Case Setup: Database management and case launch	2.70	140.00	378.00
Notification/Correspondence Fees: Set up, format and proof the notice & claim form	1.30	150.00	195.00
Project Management: Project management	1.50	150.00	225.00
Total:			798.00

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

Description	Units	Price/Unit*	Amount
Case Setup: Develop class list and import (one time fee)	1.00	25,000.00	25,000.00
Notification/Correspondence Fees: Submit file for NCOA (one time fee)	1.00	250.00	250.00
Website: Website setup (one time fee)	1.00	4,000.00	4,000.00
Website: Monthly hosting (per month)	1.00	125.00	125.00
Contact Center: Operator training (one time fee)	1.00	500.00	500.00
Process Claim Forms, Deficiencies and Rejections: Plan of Allocation (one time fee)	1.00	1,500.00	1,500.00
Mail Handling, Scanning & Data/Image Storing: Scanning set-up (one time fee)	1.00	500.00	500.00
Mail Handling, Scanning & Data/Image Storing: PO Box Fee	1.00	500.00	500.00
*Rounded to two decimals	Total:		32,375.00



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 21,503.73**  
 Invoice No: PA00656080  
 Sequence No: 3067  
 Invoice Date: March 21, 2023  
 Due Date: March 22, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through February 28, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 8,300.50
Other Professional Services Rendered	11,007.60
Out-of-Pocket Expenses	
Postage and Courier	2,195.63
	2,195.63
<b>Total Due:</b>	<b>USD 21,503.73</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

Description	Hours	Rate	Amount
Case Setup: Database management and case launch	24.20	140.00	3,388.00
Notification/Correspondence Fees: Set up, format and proof the notice & claim form	4.30	150.00	645.00
Project Management: Project management	28.45	150.00	4,267.50
Total:			8,300.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

Description	Units	Price/Unit*	Amount
Notification/Correspondence Fees: Print/address notice packet (per notice)	10,000.00	0.24	2,400.00
Website: Monthly hosting (per month)	1.00	125.00	125.00
Media Campaign: Media/online advertising - Southern Pennsylvania Transpor	1.00	8,482.00	8,482.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	5.00	0.12	0.60
*Rounded to two decimals	Total:		11,007.60



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 5,153.06**  
 Invoice No: PA00665453  
 Sequence No: 3354  
 Invoice Date: April 14, 2023  
 Due Date: April 15, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Colleen Werberig

**PRIVILEGED & CONFIDENTIAL**

Through March 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 4,907.00
Other Professional Services Rendered	237.91
Out-of-Pocket Expenses	
Postage and Courier	8.15
	8.15
<b>Total Due:</b>	<b>USD 5,153.06</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

Description	Hours	Rate	Amount
Case Setup: Database management and case launch	10.80	140.00	1,512.00
Distribution Services: All work on reissuance of checks	0.10	150.00	15.00
Tax Reporting: Recording and reconciling SFA activity during the year	0.20	100.00	20.00
Project Management: Project management	22.40	150.00	3,360.00
Total:			4,907.00

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

Description	Units	Price/Unit*	Amount
Notification/Correspondence Fees: Advanced address search (per trace)	547.00	0.15	82.05
Notification/Correspondence Fees: Re-mail notices (per notice)	22.00	0.35	7.70
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	193.00	0.12	23.16
*Rounded to two decimals	Total:		237.91





Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 8,647.70**  
 Invoice No: PA00682701  
 Sequence No: 3500  
 Invoice Date: May 24, 2023  
 Due Date: May 25, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through April 30, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered		USD 7,309.00
Other Professional Services Rendered		160.04
Out-of-Pocket Expenses		
Postage and Courier	446.78	
Sundry	731.88	
		1,178.66
<b>Total Due:</b>		<b>USD 8,647.70</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Case Setup: Database management and case launch	6.50	140.00	910.00
Process Opt-outs and Objections: Review and process opt out forms received, logging	0.50	150.00	75.00
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	3.60	90.00	324.00
Dispute Resolutions/Validation of Claims: Review of claims filed	0.50	150.00	75.00
Dispute Resolutions/Validation of Claims: Validation of submitted claims to database	0.30	150.00	45.00
Project Management: Project management	39.20	150.00	5,880.00
Total:			7,309.00

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	292.00	0.12	35.04
*Rounded to two decimals	Total:		160.04



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 5,598.93**  
 Invoice No: PA00689228  
 Sequence No: 3649  
 Invoice Date: June 15, 2023  
 Due Date: June 16, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through May 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 5,439.00
Other Professional Services Rendered	157.88
Out-of-Pocket Expenses	
Postage and Courier	2.05
	2.05
<b>Total Due:</b>	<b>USD 5,598.93</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Case Setup: Database management and case launch	1.20	140.00	168.00
Process Opt-outs and Objections: Review and process opt out forms received, logging	0.50	150.00	75.00
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	2.90	90.00	261.00
Dispute Resolutions/Validation of Claims: Review of claims filed	4.70	150.00	705.00
Dispute Resolutions/Validation of Claims: Validation of submitted claims to database	1.30	150.00	195.00
Project Management: Project management	26.90	150.00	4,035.00
Total:			5,439.00

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	274.00	0.12	32.88
*Rounded to two decimals	Total:		157.88



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

<b>Total Due:</b>	<b>USD 3,819.10</b>
Invoice No:	PA00697467
Sequence No:	3820
Invoice Date:	July 18, 2023
Due Date:	July 19, 2023
Project No:	165004
Customer No:	5948914
Contact:	Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through June 30, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 3,660.50
Other Professional Services Rendered	158.60
<b>Total Due:</b>	<b>USD 3,819.10</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	17.20	90.00	1,548.00
Distribution Services: All work on reissuance of checks	0.55	150.00	82.50
Tax Reporting: Recording and reconciling SFA activity during the year	1.70	100.00	170.00
Project Management: Project management	12.40	150.00	1,860.00
Total:			3,660.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	280.00	0.12	33.60
*Rounded to two decimals	Total:		158.60



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 4,001.34**  
 Invoice No: PA00703649  
 Sequence No: 3935  
 Invoice Date: August 10, 2023  
 Due Date: September 09, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through July 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 3,532.50
Other Professional Services Rendered	127.40
Out-of-Pocket Expenses	
Sundry	341.44
	341.44
<b>Total Due:</b>	<b>USD 4,001.34</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

Description	Hours	Rate	Amount
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	19.50	90.00	1,755.00
Project Management: Project management	11.85	150.00	1,777.50
Total:			3,532.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

Description	Units	Price/Unit*	Amount
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	20.00	0.12	2.40
*Rounded to two decimals	Total:		127.40





Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 9,886.68**  
 Invoice No: PA00714113  
 Sequence No: 4228  
 Invoice Date: September 26, 2023  
 Due Date: October 26, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through August 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 9,722.50
Other Professional Services Rendered	125.12
Out-of-Pocket Expenses	
Postage and Courier	39.06
	39.06
<b>Total Due:</b>	<b>USD 9,886.68</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	16.00	90.00	1,440.00
Dispute Resolutions/Validation of Claims: Review of claims filed	3.10	150.00	465.00
Tax Reporting: Recording and reconciling SFA activity during the year	0.85	100.00	85.00
Project Management: Project management	51.55	150.00	7,732.50
Total:			9,722.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	1.00	0.12	0.12
*Rounded to two decimals	Total:		125.12



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 7,061.62**  
 Invoice No: PA00731858  
 Sequence No: 4662  
 Invoice Date: November 22, 2023  
 Due Date: December 22, 2023  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through October 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 6,936.50
Other Professional Services Rendered	125.12
<b>Total Due:</b>	<b>USD 7,061.62</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

Description	Hours	Rate	Amount
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	9.60	90.00	864.00
Dispute Resolutions/Validation of Claims: Review of claims filed	0.30	150.00	45.00
Tax Reporting: Recording and reconciling SFA activity during the year	0.20	100.00	20.00
Project Management: Project management	40.05	150.00	6,007.50
Total:			6,936.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

Description	Units	Price/Unit*	Amount
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	1.00	0.12	0.12
*Rounded to two decimals	Total:		125.12



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 11,672.26**  
 Invoice No: PA00740172  
 Sequence No: 4871  
 Invoice Date: December 18, 2023  
 Due Date: January 17, 2024  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through November 30, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 11,541.50
Other Professional Services Rendered	125.00
Out-of-Pocket Expenses	
Postage and Courier	5.76
	5.76
<b>Total Due:</b>	<b>USD 11,672.26</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	37.60	90.00	3,384.00
Dispute Resolutions/Validation of Claims: Review of claims filed	15.80	150.00	2,370.00
Tax Reporting: Recording and reconciling SFA activity during the year	0.20	100.00	20.00
Project Management: Project management	38.45	150.00	5,767.50
Total:			11,541.50

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
*Rounded to two decimals	Total:		125.00



Kimberly M. Donaldson-Smith  
 Chimicles Schwartz Kriner &  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States of America  
 kimdonaldsonsmith@chimicles.com

**Total Due:** **USD 6,492.91**  
 Invoice No: PA00746713  
 Sequence No: 5080  
 Invoice Date: January 08, 2024  
 Due Date: February 07, 2024  
 Project No: 165004  
 Customer No: 5948914  
 Contact: Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through December 31, 2023

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 6,335.00
Other Professional Services Rendered	127.04
Out-of-Pocket Expenses	
Postage and Courier	30.87
	30.87
<b>Total Due:</b>	<b>USD 6,492.91</b>

***Please note this invoice covers current charges only and  
 may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

**DETAIL FEES FOR HOURLY PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Case Setup: Database management and case launch	1.00	140.00	140.00
Contact Center: Outbound calls	1.00	42.00	42.00
Process Claim Forms., Deficiencies and Rejections: Data entry and processing claims	36.70	90.00	3,303.00
Tax Reporting: Recording and reconciling SFA activity during the year	1.20	100.00	120.00
Project Management: Project management	18.20	150.00	2,730.00
Total:			6,335.00

**DETAIL OTHER PROFESSIONAL SERVICES RENDERED**

<b>Description</b>	<b>Units</b>	<b>Price/Unit*</b>	<b>Amount</b>
Website: Monthly hosting (per month)	1.00	125.00	125.00
Mail Handling, Scanning & Data/Image Storing: Scanning/images of documents (per scan)	17.00	0.12	2.04
*Rounded to two decimals	Total:		127.04





Chimicles Schwartz Kriner  
 Kimberly M. Donaldson-Smith  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA, 19041  
 US

**Total Due:** **USD 5,715.64**  
**Invoice No:** INV-13147-Z4Q8N7M  
**Sequence No:** April 19, 2024  
**Invoice Date:** May 19, 2024  
**Due Date:**  
**Order No:**  
**Contact:** Justin Hughes

**PRIVILEGED & CONFIDENTIAL**

Through February 29, 2024

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 5715
Out-of-Pocket Expenses	
Postage Prepaid	0.64

<b>Total Due:</b>	<b>USD 5,715.64</b>
-------------------	---------------------

***Please note this invoice covers current charges only and may not include previous unpaid invoice balances.***

**Remittance Instructions:**

Account Name: Kroll Settlement Administration LLC  
 Bank Name: Bank of America  
 Account No: 483065996116  
 ACH ABA#: 021000322  
 Wire ABA#: 026009593  
 Swift Code: BOFAUS3N

**Billing Questions:**

T: +1 952-563-3077  
 E: EliteAR@kroll.com

**Tax ID:**

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

Hourly Professional Services

---

Description	Hour	Price	Amount
Data entry and processing claims	27.20	90.00	2,448.00
Database management and case launch	0.30	140.00	42.00
Project Management	13.60	150.00	2,040.00
Recording and reconciling SFA activity during the year	0.30	100.00	30.00
Review of claims filed	7.70	150.00	1,155.00
<b>Grand Total</b>	<b>49.10</b>	<b>112.91</b>	<b>5,715.00</b>

Out of Pocket Expenses

---

Description	Hour	Price	Amount
Postage Prepaid	1	0.64	0.64
<b>Grand Total</b>	<b>1</b>	<b>0.64</b>	<b>0.64</b>



Chimicles Schwartz Kriner &  
 Kimberly M. Donaldson-Smith  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States  
 kimdonaldsonsmith@chimicles.com

<b>Total Amount:</b>	<b>USD 2,418.00</b>
Invoice No:	TI000001125-2010
Invoice Date:	July 16, 2024
Due Date:	August 2, 2024
Project ID	E024210P
Contract No.	ORD-21984-F8F3V7
Contact:	Rob Cormio

## INVOICE

For Services rendered through April 30, 2024

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Fees for Hourly Professional Services Rendered	USD 2,268.00
--	--------------

Fees for Other Professional Services Rendered	150.00
---	--------

Subtotal:	2,418.00
-----------	----------

Sales tax amount:	0.00
-------------------	------

<b>Total Due:</b>	<b>USD 2,418.00</b>
-------------------	---------------------

### Remittance Instructions

Account Name:	Kroll Settlement Administration LLC
Bank Name:	Bank of America
Account No:	483065996116
ACH ABA#:	021000322
Wire ABA#:	026009593
Swift Code:	BOFAUS3N

### Billing Questions

T: +1 952-563-3077  
 E: EliteAR@Kroll.com

### Tax ID:

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to AR@kroll.com.**

Hourly Professional Services

Description	Hours	Rate	Amount
All work on reissuance of checks	2.00	150.00	300.00
Data entry and processing claims	2.70	90.00	243.00
Project management	9.70	150.00	1,455.00
Recording and reconciling SFA activity during the year	2.70	100.00	270.00
Subtotal:			2,268.00

Other Professional Services

Description	Units	Price/Unit	Amount
SA003-700 - Website: Monthly hosting (per month)	1.00	150.0000	150.00
Subtotal:			150.00

# **EXHIBIT D**



Chimicles Schwartz Kriner & Donaldson-Smith LLP  
 Kimberly M. Donaldson-Smith  
 One Haverford Centre  
 361 West Lancaster Avenue  
 Haverford, PA 19041  
 United States  
 kimdonaldsonsmith@chimicles.com

<b>Total Amount:</b>	<b>USD 19,125.04</b>
Invoice No:	TI000001221-2010
Invoice Date:	July 31, 2024
Due Date:	August 30, 2024
Project ID	E024210P
Contract No.	ORD-21984-F8F3V7
Contact:	Rob Cormio

## INVOICE

**RE: Orrstown Financial Services, Inc. Securities Litigation**

Retainer	USD 19,125.04
----------	---------------

Subtotal:	19,125.04
Sales tax amount:	0.00

<b>Total Due:</b>	<b>USD 19,125.04</b>
-------------------	----------------------

### Remittance Instructions

Account Name:	Kroll Settlement Administration LLC
Bank Name:	Bank of America
Account No:	483065996116
ACH ABA#:	021000322
Wire ABA#:	026009593
Swift Code:	BOFAUS3N

### Billing Questions

T: +1 952-563-3077  
 E: EliteAR@Kroll.com

### Tax ID:

82-3588071

**Important Note: Please include our invoice number and name of your organization with all payments and send remittance advice to [AR@kroll.com](mailto:AR@kroll.com).**

## Hourly Professional Services

Task	Units	Rate	Amount
Website Hosting	12	\$150.00	\$1,800.00
Data entry and processing claims	31	\$90.00	\$2,790.00
Project management	78.3	\$150.00	\$11,745.00
Recording and reconciling SFA activity during the year	6.4	\$100.00	\$640.00
2024 Tax Return	1	\$2,000.00	\$2,000.00
Broker Reimbursements		\$150.04	\$150.04
<b>Grand Total</b>			<b>\$19,125.04</b>

**CHIMICLES SCHWARTZ KRINER  
& DONALDSON-SMITH LLP**

Nicholas E. Chimicles, Pa. Id. No. 17928  
Kimberly Donaldson Smith, Pa. Id. No. 84116  
Timothy N. Mathews, Pa. Id. No. 91430  
One Haverford Centre  
361 West Lancaster Avenue  
Haverford, PA 19041  
Phone (610) 642-8500  
Fax (610) 649-3633

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF PENNSYLVANIA**

SOUTHEASTERN PENNSYLVANIA  
TRANSPORTATION AUTHORITY, on  
behalf of itself and all others similarly  
situated,

Plaintiff,

v.

ORRSTOWN FINANCIAL SERVICES,  
INC., ORRSTOWN BANK, ANTHONY  
F. CEDDIA, JEFFREY W. COY, MARK  
K. KELLER, ANDREA PUGH,  
THOMAS R. QUINN, JR., GREGORY A.  
ROSENBERRY, KENNETH R.  
SHOEMAKER, GLENN W. SNOKE,  
JOHN S. WARD, BRADLEY S.  
EVERLY, JOEL R. ZULLINGER,  
JEFFREY W. EMBLY, SMITH  
ELLIOTT KEARNS & COMPANY,  
LLC, SANDLER O'NEILL &  
PARTNERS L.P., and JANNEY  
MONTGOMERY SCOTT LLC,

Defendants.

Civil Action No. 1:12-cv-00993

CLASS ACTION

**[PROPOSED] ORDER  
AUTHORIZING DISTRIBUTION  
OF THE NET SETTLEMENT  
FUND**



AND NOW, upon Lead Plaintiff's Motion for an Order Authorizing Distribution of the Net Settlement Fund, and the accompanying Declaration of Robert Cormio in Connection With Motion for Class Distribution Order ("Cormio Declaration") with exhibits thereto;

WHEREAS, pursuant to the Court's Final Judgment and Order of Dismissal With Prejudice (Dkt. 308, "Judgment")<sup>1</sup>, the Court granted Lead Plaintiff's Motion for Final Approval of Class Action Settlement, approving the Settlement and the Plan of Allocation.

WHEREAS, paragraph 17 of the Judgment provides that the Court retains continuing jurisdiction over, among other things: "(a) . . . distribution of the Settlement Fund... and (f) other matters related to or ancillary to the foregoing", and that "[t]he administration of the Settlement, and the decision of all disputed questions of law and fact with respect to the validity of any claim or right of any Person to participate in the distribution of the Net Settlement Fund, shall remain under the authority of this Court."

WHEREAS, the Judgment incorporates by reference, among other things, the Stipulation and the Notice (*id.* at ¶1), and authorized and directed implementation

---

<sup>1</sup> Unless otherwise stated or defined, all capitalized terms used herein have the definitions provided in the Stipulation and Agreement of Settlement dated December 8, 2022 ("Stipulation"), which was filed as Exhibit 1 to Lead Plaintiff's declaration submitted in support of Plaintiff's Motion for Preliminary Approval (Dkt. 297).

and performance of all the terms and provisions of the Stipulation, as well as the terms and provisions of the Judgment. *Id.* at ¶9.

WHEREAS, in accordance with the Judgment, the Stipulation ¶¶ 5.8-5.15, and the Preliminary Approval Order, Dkt. 300 at ¶¶ 17-20, Court-appointed Claims Administrator Kroll Settlement Administration LLC (“Kroll”) and Lead Counsel began the claims administration process in accordance with the protocol set forth in the Plan of Allocation (“POA”, Dkt. 297-1 at PDF pg. 67/93, Paragraph 8). Among other things, the POA sets forth the protocol by which Kroll and Lead Counsel will allocate and distribute the Net Settlement Fund to Authorized Claimants.

WHEREAS, each Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was afforded the opportunity to file their claims through the mail, online or electronically, and as of September 27, 2024, Kroll received and processed a total of 1,201 Claim Forms. Cormio Decl. at ¶¶ 5-32.

WHEREAS, Kroll, with the assistance of Lead Counsel, has accepted as 654 claims and rejected 547 claims, in accordance with the claims administration and auditing processes set forth in the Cormio Declaration. Cormio Decl. at ¶¶ 33-35.

WHEREAS, Kroll has incurred and been paid Notice and Administration Expenses through December 2023 of \$127,256.78. Kroll estimates that it will incur and bill an additional \$19,125.04 to complete the administration of this Settlement, and \$25,500 for Settlement Fund Taxes and Tax Expenses. Cormio Decl. at ¶ 36.

WHEREAS, Lead Plaintiff and Kroll, specifically in the Cormio Declaration ¶ 37 and the Motion ¶¶ 25-31, provide a recommended plan for distributing the settlement funds (“Distribution Plan”);

NOW THEREFORE, IT IS HEREBY ORDERED as follows:

1. The administrative determinations of the Kroll concerning the submitted in this Action as set forth in the Cormio Declaration are hereby APPROVED.

2. The Distribution Plan recommended by Kroll in Paragraph 37 of the Cormio Declaration, and set forth in Lead Plaintiff’s Motion at Paragraphs 26-31, is APPROVED.

3. In accordance with the Distribution Plan, Kroll shall:

(a) conduct the Initial Distribution of the Net Settlement Fund to the 654 Authorized Claimants whose claims have been approved by Kroll and who are listed in Exhibit B to the Cormio Declaration;

(b) conduct, if economically feasible, a Second Distribution of any remaining balance in the Net Settlement Fund, after a reasonable period of time passes after the date of Initial Distribution to Authorized Claimants, but in no event earlier than six months after the Initial Distribution, and

(c) if a Second Distribution is not economically feasible, or if a *de minimis* balance still remains in the Net Settlement Fund after such Second

Distribution thereby rendering a third distribution not economically feasible, the *de minimis* balance shall be donated to MidPenn Legal Services [or \_\_\_\_\_, a non-profit and non-sectarian organization chosen by the Court].

4. The Court authorizes a reserve of \$25,500.00 for Settlement Fund Tax and Tax Expenses, and \$19,125.04 to be paid from the Settlement Fund to Kroll for the balance of its Notice and Administration Expenses to be incurred;

5. Any claims made after September 27, 2024 against the Net Settlement Fund are finally and forever barred;

6. Lead Plaintiff, Lead Counsel, Kroll, and all persons who were involved in the processing of the claims filed in this Action, or who are otherwise involved in the administration of the Net Settlement Fund, are hereby released and discharged from any and all claims arising out of such involvement, and, pursuant to the release terms of the Stipulation ¶4.2, 5.12, Preliminary Approval Order ¶17, and Judgment ¶11, all Class Members, whether or not they are to receive payment from the Net Settlement Fund, are hereby barred from making any further claim against the Net Settlement Fund beyond the amount, if any, allocated to them during the claims administration process.

7. Kroll is authorized to dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net

Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund.

DATED: \_\_\_\_\_

\_\_\_\_\_  
THE HONORABLE YVETTE KANE  
UNITED STATES DISTRICT JUDGE